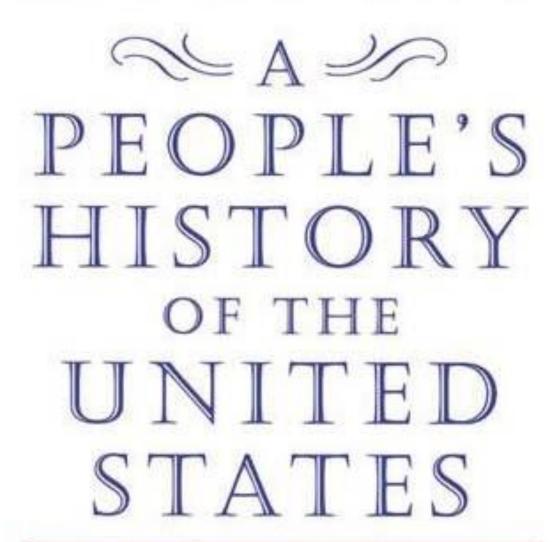
### howard zinn



#### 1492-PRESENT

"Historians may well view it as a step toward a coherent new version of American history." —Eric Foner, New York Times Book Review

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# Chapter 1 Columbus, the Indians and Human Progress

Arawak men and women, naked, tawny, and full of wonder, emerged from their villages onto the island's beaches and swam out to get a closer look at the strange big boat. When Columbus and his sailors came ashore, carrying swords, speaking oddly, the Arawaks ran to greet them, brought them food, water, gifts. He later wrote of this in his log:

"They... brought us parrots and balls of cotton and spears and many other things, which they exchanged for the glass beads and hawks' bells. They willingly traded everything they owned... They were well-built, with good bodies and handsome features... They do not bear arms, and do not know them, for I showed them a sword, they took it by the edge and cut themselves out of ignorance. They have no iron. Their spears are made of cane... They would make fine servants... With fifty men we could subjugate them all and make them do whatever we want."

These Arawaks of the Bahama Islands were much like Indians on the mainland, who were remarkable (European observers were to say again and again) for their hospitality, their belief in sharing. These traits did not stand out in the Europe of the Renaissance, dominated as it was by the religion of popes, the government of kings, the frenzy for money that marked Western civilization and its first messenger to the Americas, Christopher Columbus.

#### Columbus wrote:

"As soon as I arrived in the Indies, on the first Island which I found, I took some of the natives by force in order that they might learn and might give me information of whatever there is in these parts."

The information that Columbus wanted most was: Where is the gold? He had persuaded the king and queen of Spain to finance an expedition to the lands, the wealth, he expected would be on the other side of the Atlantic -- the Indies and Asia, gold and spices. For, like other informed people of his time, he knew the world was round and he could sail west in order to get to the Far East.

Spain was recently unified, one of the new modern nation-states, like France, England, and Portugal. Its population, mostly poor peasants, worked for the nobility, who were 2 percent of the population and owned 95 percent of the land. Spain had tied itself to the Catholic Church, expelled all the Jews, driven out the Moors. Like other states of the modem world, Spain sought gold, which was becoming the new mark of wealth, more useful than land because it could buy anything.

There was gold in Asia, it was thought, and certainly silks and spices, for Marco Polo and others had brought back marvelous things from their overland expeditions centuries before. Now that the Turks had conquered Constantinople and the eastern Mediterranean, and controlled the land routes to Asia, a sea route was needed. Portuguese sailors were working their way around the southern tip of Africa. Spain decided to gamble on a long sail across an unknown ocean.

In return for bringing back gold and spices, they promised Columbus 10 percent of the profits, governorship over newfound lands, and the fame that would go with a new title: Admiral of the Ocean Sea. He was a merchant's clerk from the Italian city of Genoa, part-time weaver (the son of a skilled weaver), and expert sailor. He set out with three sailing ships, the largest of which was the Santa Maria, perhaps 100 feet long, and thirty-nine crew members.

Columbus would never have made it to Asia, which was thousands of miles farther away than he had calculated, imagining a smaller world. He would have been doomed by that great expanse of sea. But he was lucky. One-fourth of the way there he came upon an unknown, uncharted land that lay between Europe and Asia - the Americas. It was early October 1492, and thirty-three days since he and his crew had left the Canary Islands, off the Atlantic coast of Africa. Now they saw branches and sticks floating in the water. They saw flocks of birds. These were signs of land. Then, on October 12, a sailor called Rodrigo saw the early morning moon shining on white sands, and cried out. It was an island in the Bahamas, the Caribbean Sea. The first man to sight land was supposed to get a yearly pension of 10,000 maravedis for life, but Rodrigo never got it. Columbus claimed he had seen a light the evening before. He got the reward.

So, approaching land, they were met by the Arawak Indians, who swam out to greet them. The Arawaks lived in village communes, had a developed agriculture of com, yams, cassava. They could spin and weave, but they had no horses or work animals. They had no iron, but they wore tiny gold ornaments in their ears.

This was to have enormous consequences: it led Columbus to take some of them aboard ship as prisoners because he insisted that they guide him to the source of the gold. He then sailed to what is now Cuba, then to Hispaniola (the island which today consists of Haiti and the Dominican Republic). There, bits of visible gold in the rivers, and a gold mask presented to Columbus by a local Indian chief, led to wild visions of gold fields.

On Hispaniola, out of timbers from the Santa Maria, which had run aground, Columbus built a fort, the first European military base in the Western Hemisphere. He called it Navidad (Christmas) and left thirty-nine crewmembers there, with instructions to find and store the gold. He took more Indian prisoners and put them aboard his two remaining ships. At one part of the island he got into a fight with Indians who refused to trade as many bows and arrows as he and his men wanted. Two were run through with swords and bled to death. Then the Nina and the Pinta set sail for the Azores and Spain. When the weather turned cold, the Indian prisoners began to die.

Columbus's report to the Court in Madrid was extravagant. He insisted he had reached Asia (it was Cuba) and an island off the coast of China (Hispaniola). His descriptions were part fact, part fiction:

Hispaniola is a miracle. Mountains and hills, plains and pastures, are both fertile and beautiful... the harbors are unbelievably good and there are many wide rivers of which the majority contain gold... There are many spices, and great mines of gold and other metals...

The Indians, Columbus reported, "are so naive and so free with their possessions that no one who has not witnessed them would believe it. When you ask for something they have, they never say no. To the contrary, they offer to share with anyone...." He concluded his report by asking for a little help from their Majesties, and in return he would bring them from his next voyage "as much gold as they need . . . and as many slaves as they ask." He was full of religious talk: "Thus the eternal God, our Lord, gives victory to those who follow His way over apparent impossibilities."

Because of Columbus's exaggerated report and promises, his second expedition was given seventeen ships and more than twelve hundred men. The aim was clear: slaves and gold. They went from island to island in the Caribbean, taking Indians as captives. But as word spread of the Europeans' intent they found more and more empty villages. On Haiti, they found that the sailors left behind at Fort Navidad had been killed in a battle with the Indians, after they had roamed the island in gangs looking for gold, taking women and children as slaves for sex and labor.

Now, from his base on Haiti, Columbus sent expedition after expedition into the interior. They found no gold fields, but had to fill up the ships returning to Spain with some kind of dividend. In the year 1495, they went on a great slave raid, rounded up fifteen hundred Arawak men, women, and children, put them in pens guarded by Spaniards and dogs, then picked the five hundred best specimens to load onto ships. Of those five hundred, two hundred died en route. The rest arrived alive in Spain and were put up for sale by the archdeacon of the town, who reported that, although the slaves were "naked as the day they were born," they showed "no more embarrassment than animals." Columbus later wrote: "Let us in the name of the Holy Trinity go on sending all the slaves that can be sold."

But too many of the slaves died in captivity. And so Columbus, desperate to pay back dividends to those who had invested, had to make good his promise to fill the ships with gold. In the province of Cicao on Haiti, where he and his men imagined huge gold fields to exist, they ordered all persons fourteen years or older to collect a certain quantity of gold every three months. When they brought it, they were given copper tokens to hang around their necks. Indians found without a copper token had their hands cut off and bled to death.

The Indians had been given an impossible task. The only gold around was bits of dust garnered from the streams. So they fled, were hunted down with dogs, and were killed.

Trying to put together an army of resistance, the Arawaks faced Spaniards who had armor, muskets, swords, horses. When the Spaniards took prisoners they hanged them or burned them to death. Among the Arawaks, mass suicides began, with cassava poison. Infants were killed to save them from the Spaniards. In two years, through murder, mutilation, or suicide, half of the 250,000 Indians on Haiti were dead.

When it became clear that there was no gold left, the Indians were taken as slave labor on huge estates, known later as encomiendas. They were worked at a ferocious pace, and died by the thousands. By the year 1515, there were perhaps fifty thousand Indians left. By 1550, there were five hundred. A report of the year 1650 shows none of the original Arawaks or their descendants left on the island.

The chief source-and, on many matters the only source-of information about what happened on the islands after Columbus came is Bartolome de las Casas, who, as a young priest, participated in the conquest of Cuba. For a time he owned a plantation on which Indian slaves worked, but he gave that up and became a vehement critic of Spanish cruelty. Las Casas transcribed Columbus's journal and, in his fifties, began a multivolume *History of the Indies*. In it, he describes the Indians. They are agile, he says, and can swim long distances, especially the women. They are not completely peaceful, because they do battle from time to time with other tribes, but their casualties seem small, and they fight when they are individually moved to do so because of some grievance, not on the orders of captains or kings.

Women in Indian society were treated so well as to startle the Spaniards. Las Casas describes sex relations:

Marriage laws are non-existent: men and women alike choose their mates and leave them as they please, without offense, jealousy or anger. They multiply in great abundance; pregnant women work to the last minute and give birth almost painlessly; up the next day, they bathe in the river and are as clean and healthy as before giving birth. If they tire of their men, they give themselves abortions with herbs that force stillbirths, covering their shameful parts with leaves or cotton cloth; although on the whole, Indian men and women look upon total nakedness with as much casualness as we look upon a man's head or at his hands.

The Indians, Las Casas says, have no religion, at least no temples. They live in

large communal bell-shaped buildings, housing up to 600 people at one time... made of very strong wood and roofed with palm leaves... They prize bird feathers of various colors, beads made of fishbones, and green and white stones with which they adorn their ears and lips, but they put no value on gold and other precious things: They lack all manner of commerce, neither buying nor selling, and rely exclusively on their natural environment for maintenance. They are extremely generous with their possessions and by the same token covet the possessions of their friends and expect the same degree of liberality...

In Book Two of his *History of the Indies*, Las Casas (who at first urged replacing Indians by black slaves, thinking they were stronger and would survive, but later relented when he saw the effects on blacks) tells about the treatment of the Indians by the Spaniards. It is a unique account and deserves to be quoted at length:

"Endless testimonies... prove the mild and pacific temperament of the natives... But our work was to exasperate, ravage, kill, mangle and destroy; small wonder, then, if they tried to kill one of us now and then... The admiral, it is true, was blind as those who came after him, and he was so anxious to please the King that he committed irreparable crimes against the Indians..."

Las Casas tells how the Spaniards "grew more conceited every day" and after a while refused to walk any distance. They "rode the backs of Indians if they were in a hurry" or were carried on hammocks by Indians running in relays. "In this case they also had Indians carry large leaves to shade them from the sun and others to fan them with goose wings."

Total control led to total cruelty. The Spaniards "thought nothing of knifing Indians by tens and twenties and of cutting slices off them to test the sharpness of their blades." Las Casas tells how "two of these so-called Christians met two Indian boys one day, each carrying a parrot; they took the parrots and for fun beheaded the boys."

The Indians' attempts to defend themselves failed. And when they ran off into the hills they were found and killed.

So, Las Casas reports, "they suffered and died in the mines and other labors in desperate silence, knowing not a soul in the world to whom they could turn for help." He describes their work in the mines:

"... mountains are stripped from top to bottom and bottom to top a thousand times; they dig, split rocks, move stones, and carry dirt on their backs to wash it in the rivers, while those who wash gold stay in the water all the time with their backs bent so constantly it breaks them; and when water invades the mines, the most arduous task of all is to dry the mines by scooping up pansful of water and throwing it up outside...

After each six or eight months' work in the mines, which was the time required of each crew to dig enough gold for melting, up to a third of the men died.

While the men were sent many miles away to the mines, the wives remained to work the soil, forced into the excruciating job of digging and making thousands of hills for cassava plants.

Thus husbands and wives were together only once every eight or ten months and when they met they were so exhausted and depressed on both sides... they ceased to procreate. As for the newly born, they died early because their mothers, overworked and famished, had no milk to nurse them, and for this reason, while I was in Cuba, 7000 children died in three months. Some mothers even drowned their babies from sheer desperation... In this way, husbands died in the mines, wives died at work, and children died from lack of milk... and in a short time this land which was so great, so powerful and fertile... was depopulated...My eyes have seen these acts so foreign to human nature, and now I tremble as I write..."

When he arrived on Hispaniola in 1508, Las Casas says, "there were 60,000 people living on this island, including the Indians; so that from 1494 to 1508, over three million people had perished from war, slavery, and the mines. Who in future generations will believe this? I myself writing it as a knowledgeable eyewitness can hardly believe it..."

Thus began the history, five hundred years ago, of the European invasion of the Indian settlements in the Americas. That beginning, when you read Las Casas - even if his figures are exaggerations (were there 3 million Indians to begin with, as he says, or less than a million, as some historians have calculated, or 8 million as others now believe?) is conquest, slavery, death. When we read the history books given to children in the United States, it all starts with heroic adventure - there is no bloodshed - and Columbus Day is a celebration. Past the elementary and high schools, there are only occasional hints of something else. Samuel Eliot Morison, the Harvard historian, was the most distinguished writer on Columbus, the author of a multivolume biography, and was himself a sailor who retraced Columbus's route across the Atlantic. In his popular book *Christopher Columbus*, *Mariner*, written in 1954, he tells about the enslavement and the killing:

"The cruel policy initiated by Columbus and pursued by his successors resulted in complete genocide."

That is on one page, buried halfway into the telling of a grand romance. In the book's last paragraph, Morison sums up his view of Columbus:

He had his faults and his defects, but they were largely the defects of the qualities that made him great his indomitable will, his superb faith in God and in his own mission as the Christ-bearer to lands beyond the seas, his stubborn persistence despite neglect, poverty and discouragement. But there was no flaw, no dark side to the most outstanding and essential of all his qualities - his seamanship.

One can lie outright about the past. Or one can omit facts which might lead to unacceptable conclusions. Morison does neither. He refuses to lie about Columbus. He does not omit the story of mass murder; indeed he describes it with the harshest word one can use: genocide.

But he does something else -- he mentions the truth quickly and goes on to other things more important to him. Outright lying or quiet omission takes the risk of discovery which, when made, might arouse the reader to rebel against the writer. To state the facts, however, and then to bury them in a mass of other information is to say to the reader with a certain infectious calm: yes, mass murder took place, but it's not that important - it should weigh very little in our final judgments; it should affect very little what we do in the world.

It is not that the historian can avoid emphasis of some facts and not of others. This is as natural to him as to the mapmaker, who, in order to produce a usable drawing for practical purposes, must first flatten and distort the shape of the earth, then choose out of the bewildering mass of geographic information those things needed for the purpose of this or that particular map.

My argument cannot be against selection, simplification, emphasis, which are inevitable for both cartographers and historians. But the mapmaker's distortion is a technical necessity for a common purpose shared by all people who need maps. The historian's distortion is more than technical, it is ideological; it is released into a world of contending interests, where any chosen emphasis supports (whether the historian means to or not) some kind of interest, whether economic or political or racial or national or sexual.

Furthermore, this ideological interest is not openly expressed in the way a mapmaker's technical interest is obvious ("This is a Mercator projection for long-range navigation-for short-range, you'd better use a different projection"). No, it is presented as if all readers of history had a common interest which historians serve to the best of their ability. This is not intentional deception; the historian has been trained in a society in which education and knowledge are put forward as technical problems of excellence and not as tools for contending social classes, races, nations.

To emphasize the heroism of Columbus and his successors as navigators and discoverers, and to deemphasize their genocide, is not a technical necessity but an ideological choice. It serves -- unwittingly -- to justify what was done.

My point is not that we must, in telling history, accuse, judge, condemn Columbus in absentia. It is too late for that; it would be a useless scholarly exercise in morality. But the easy acceptance of atrocities as a deplorable but necessary price to pay for progress (Hiroshima and Vietnam, to save Western civilization; Kronstadt and Hungary, to save socialism; nuclear proliferation, to save us all) -- that is still with us. One reason these atrocities are still with us is that we have learned to bury them in a mass of other facts, as radioactive wastes are buried in containers in the earth. We have learned to give them exactly the same proportion of attention that teachers and writers often give them in the most respectable of classrooms and textbooks. This learned sense of moral proportion, coming from the apparent objectivity of the scholar, is accepted more easily than when it comes from politicians at press conferences. It is therefore more deadly.

The treatment of heroes (Columbus) and their victims (the Arawaks) the quiet acceptance of conquest and murder in the name of progress - is only one aspect of a certain approach to history, in which the past is told from the point of view of governments, conquerors, diplomats, leaders. It is as if they, like Columbus, deserve universal acceptance, as if they - the Founding Fathers, Jackson, Lincoln, Wilson, Roosevelt, Kennedy, the leading members of Congress, the famous Justices of the Supreme Court - represent the nation as a whole. The pretense is that there really is such a thing as "the United States," subject to occasional conflicts and quarrels, but fundamentally a community of people with common interests. It is as if there really is a "national interest" represented in the Constitution, in territorial expansion, in the laws passed by Congress, the decisions of the courts, the development of capitalism, the culture of education and the mass media.

"History is the memory of states," wrote Henry Kissinger in his first book, *A World Restored*, in which he proceeded to tell the history of nineteenth-century Europe from the viewpoint of the leaders of Austria and England, ignoring the millions who suffered from those states men's policies. From his standpoint, the "peace" that Europe had before the French Revolution was "restored" by the diplomacy of a few national leaders. But for factory workers in England, farmers in France, colored people in Asia and Africa, women and children everywhere except in the upper classes, it was a world of conquest, violence, hunger, exploitation - a world not restored but disintegrated.

My viewpoint, in telling the history of the United states, is different: that we must not accept the memory of states as our own. Nations are not communities and never have been. The history of any country, presented as the history of a family, conceals fierce conflicts of interest (sometimes exploding, most often repressed) between conquerors and conquered, masters and slaves, capitalists and workers, dominators and dominated in race and sex. And in such a world of conflict, a world of victims and executioners, it is the job of thinking people, as Albert Camus suggested, not to be on the side of the executioners.

Thus, in that inevitable taking of sides which comes from selection and emphasis in history, I prefer to try to tell the story of the discovery of America from the viewpoint of the Arawaks, of the Constitution from the standpoint of the slaves, of Andrew Jackson as seen by the Cherokees, of the Civil War as seen by the New York Irish, of the Mexican war as seen by the deserting soldiers of Scott's army, of the rise of industrialism as seen by the young women in the Lowell textile mills, of the Spanish-American war as seen by the Cubans, the conquest of the Philippines as seen by black soldiers on Luzon, the Gilded Age as seen by southern farmers, the First World War as seen by socialists, the Second World War as seen by pacifists, the New Deal as seen by blacks in Harlem, the postwar American empire as seen by peons in Latin America. And so on, to the limited extent that anyone person, however he or she strains, can "see" history from the standpoint of others.

My point is not to grieve for the victims and denounce the executioners. Those tears, that anger, cast into the past, deplete our moral' energy for the present. And the lines are not always clear. In the long run, the oppressor is also a victim. In the short run (and so far, human history has consisted only of short runs), the victims, themselves desperate and tainted with the culture that oppresses them, turn on other victims.

Still, understanding the complexities, this book will be skeptical of governments and their attempts, through politics and culture, to ensnare ordinary people in a giant web of nationhood pretending to a common interest. I will try not to overlook the cruelties that victims inflict on one another as they are jammed together in the boxcars of the system. I don't want to romanticize them. But I do remember (in rough paraphrase) a statement I once read: "The cry of the poor is not always just, but if you don't listen to it, you will never know what justice is."

I don't want to invent victories for people's movements. But to think that history-writing must aim simply to recapitulate the failures that dominate the past is to make historians collaborators in an endless cycle of defeat. If history is to be creative, to anticipate a possible future without denying the past, it should, I believe, emphasize new possibilities by disclosing those hidden episodes of the past when, even if in brief flashes, people showed their ability to resist, to join together, occasionally to win. I am supposing, or perhaps only hoping, that our future may be found in the past's fugitive moments of compassion rather than in its solid centuries of warfare.

That, being as blunt as I can, is my approach to the history of the United States. The reader may as well know that before going on.

What Columbus did to the Arawaks of the Bahamas, Cortes did to the Aztecs of Mexico, Pizarro to the Incas of Peru, and the English settlers of Virginia and Massachusetts to the Powhatans and the Pequots.

The Aztec civilization of Mexico came out of the heritage of Mayan, Zapotec, and Toltec cultures. It built enormous constructions from stone tools and human labor, developed a writing system and a priesthood. It also engaged in (let us not overlook this) the ritual killing of thousands of people as sacrifices to the gods. The cruelty of the Aztecs, however, did not erase a certain innocence, and when a Spanish armada appeared at Vera Cruz, and a bearded white man came ashore, with strange beasts (horses), clad in iron, it was thought that he was the legendary Aztec man-god who had died three hundred years before, with the promise to return - the mysterious Quetzalcoatl. And so they welcomed him, with munificent hospitality.

That was Hernando Cortes, come from Spain with an expedition financed by merchants and landowners and blessed by the deputies of God, with one obsessive goal: to find gold. In the mind of Montezuma, the king of the Aztecs, there must have been a certain doubt about whether Cortes was indeed Quetzalcoatl, because he sent a hundred runners to Cortes, bearing enormous treasures, gold and silver wrought into objects of fantastic beauty, but at the same time begging him to go back. (The painter Durer a few years later described what he saw just arrived in Spain from that expedition - a sun of gold, a moon of silver, worth a fortune.)

Cortes then began his march of death from town to town, using deception, turning Aztec against Aztec, killing with the kind of deliberateness that accompanies a strategy-to paralyze the will of the population by a sudden frightful deed. And so, in Cholulu, he invited the headmen of the Choluia nation to the square. And when they came, with thousands of unarmed retainers, Cortes's small army of Spaniards, posted around the square with cannon, armed with crossbows, mounted on horses, massacred them, down to the last man. Then they looted the city and moved on. When their cavalcade of murder was over they were in Mexico City, Montezuma was dead, and the Aztec civilization, shattered, was in the hands of the Spaniards.

All this is told in the Spaniards' own accounts.

In Peru, that other Spanish conquistador Pizarro, used the same tactics, and for the same reasons -- the frenzy in the early capitalist states of Europe for gold, for slaves, for products of the soil, to pay the bondholders and stockholders of the expeditions, to finance the monarchical bureaucracies rising in Western Europe, to spur the growth of the new money economy rising out of feudalism, to participate in what Karl Marx would later call "the primitive accumulation of capital." These were the violent beginnings of an intricate system of technology, business, politics, and culture that would dominate the world for the next five centuries.

In the North American English colonies, the pattern was set early, as Columbus had set it in the islands of the Bahamas. In 1585, before there was any permanent English settlement in Virginia, Richard Grenville landed there with seven ships. The Indians he met were hospitable, but when one of them stole a small silver cup, Grenville sacked and burned the whole Indian village.

Jamestown itself was set up inside the territory of an Indian confederacy, led by the chief, Powhatan. Powhatan watched the English settle on his people's land, but did not attack, maintaining a posture of coolness. When the English were going through their "starving time" in the winter of 1610, some of them ran off to join the Indians,

where they would at least be fed. When the summer came, the governor of the colony sent a messenger to ask Powhatan to return the runaways, whereupon Powhatan, according to the English account, replied with "noe other than prowde and disdaynefull Answers." Some soldiers were therefore sent out "to take Revendge." They fell upon an Indian settlement, killed fifteen or sixteen Indians, burned the houses, cut down the corn growing around the village, took the queen of the tribe and her children into boats, then ended up throwing the children overboard "and shoteinge owtt their Braynes in the water." The queen was later taken off and stabbed to death.

Twelve years later, the Indians, alarmed as the English settlements kept growing in numbers, apparently decided to try to wipe them out for good. They went on a rampage and massacred 347 men, women, and children. From then on it was total war.

Not able to enslave the Indians, and not able to live with them, the English decided to exterminate them. Edmund Morgan writes, in his history of early Virginia, *American Slavery, American Freedom*:

Since the Indians were better woodsmen than the English and virtually impossible to track down, the method was to feign peaceful intentions, let them settle down and plant their corn wherever they chose, and then, just before harvest, fall upon them, killing as many as possible and burning the corn... Within two or three years of the massacre the English had avenged the deaths of that day many times over.

In that first year of the white man in Virginia, 1607, Powhatan had addressed a plea to John Smith that turned out prophetic. How authentic it is may be in doubt, but it is so much like so many Indian statements that it may be taken as, if not the rough letter of that first plea, the exact spirit of it:

I have seen two generations of my people die... I know the difference between peace and war better than any man in my country. I am now grown old, and must die soon; my authority must descend to my brothers, Opitchapan, Opechancanough and Catatough - then to my two sisters, and then to my two daughters. I wish them to know as much as I do, and that your love to them may be like mine to you. Why will you take by force what you may have quietly by love? Why will you destroy us who supply you with food? What can you get by war? We can hide our provisions and run into the woods; then you will starve for wronging your friends. Why are you jealous of us? We are unarmed, and willing to give you what you ask, if you come in a friendly manner, and not so simple as not to know that it is much better to eat good meat, sleep comfortably, live quietly with my wives and children, laugh and be merry with the English, and trade for their copper and hatchets, than to run away from them, and to lie cold in the woods, feed on acorns, roots and such trash, and be so hunted that I can neither eat nor sleep. In these wars, my men must sit up watching, and if a twig break, they all cry our "Here comes Captain Smith!" So I must end my miserable life. Take away your guns and swords, the cause of all our jealousy, or you may all die in the same manner.

When the Pilgrims came to New England they too were coming not to vacant land but to territory inhabited by tribes of Indians. The governor of the Massachusetts Bay Colony, John Winthrop, created the excuse to take Indian land by declaring the area legally a "vacuum." The Indians, he said, had not "subdued" the land, and therefore had only a "natural" right to it, but not a "civil right." A "natural right" did not have legal standing.

The Puritans also appealed to the Bible, Psalms 2:8: "Ask of me, and I shall give thee, the heathen for thine inheritance, and the uttermost parts of the earth for thy possession." And to justify their use of force to take the land, they cited Romans 13:2: "Whosoever therefore resisteth the power, resisteth the ordinance of God: and they that resist shall receive to themselves damnation."

The Puritans lived in uneasy truce with the Pequot Indians, who occupied what is now southern Connecticut and Rhode Island. But they wanted them out of the way; they wanted their land. And they seemed to want also to establish their rule firmly over Connecticut settlers in that area. The murder of a white trader, Indian-kidnaper, and troublemaker became an excuse to make war on the Pequots in 1636.

A punitive expedition left Boston to attack the Narragansett Indians on Block Island, who were lumped with the Pequots. As Governor Winthrop wrote:

They had commission to put to death the men of Block Island, but to spare the women and children, and to bring them away, and to take possession of the island; and from thence to go to the Pequots to demand the murderers of Captain Stone and other English, and one thousand fathom of wampom for damages, etc. and some of their children as hostages, which if they should refuse, they were to obtain it by force.

The English landed and killed some Indians, but the rest hid in the thick forests of the island and the English went from one deserted village to the next, destroying crops. Then they sailed back to the mainland and raided Pequot villages along the coast, destroying crops again. One of the officers of that expedition, in his account, gives some insight into the Pequots they encountered: "The Indians spying of us came running in multitudes along the water side, crying, What cheer, Englishmen, what cheer, What do you come for? They not thinking we intended war, went on cheerfully. . . . ."

So, the war with the Pequots began. Massacres took place on both sides. The English developed a tactic of warfare used earlier by Cortes and later, in the twentieth century, even more systematically: deliberate attacks on noncombatants for the purpose of terrorizing the enemy. This is ethnohistorian Francis Jennings's interpretation of Captain John Mason's attack on a Pequot village on the Mystic River near Long Island Sound: "Mason proposed to avoid attacking Pequot warriors, which would have overtaxed his unseasoned, unreliable troops. Battle, as such, was not his purpose. Battle is only one of the ways to destroy an enemy's will to fight. Massacre can accomplish the same end with less risk, and Mason had determined that massacre would be his objective."

So the English set fire to the wigwams of the village. By their own account: "The Captain also said, We must Burn Them; and immediately stepping into the Wigwam... brought out a Fire Brand, and putting it into the Matts with which they were covered, set the Wigwams on Fire." William Bradford, in his *History of the Plymouth Plantation* written at the time, describes John Mason's raid on the Pequot village:

Those that scaped the fire were slaine with the sword; some hewed to peeces, others rune throw with their rapiers, so as they were quickly dispatchte, and very few escaped. It was conceived they thus destroyed about 400 at this time. It was a fearful sight to see them thus frying in the fyer, and the streams of blood quenching the same, and horrible was the stincke and sente there of, but the victory seemed a sweete sacrifice, and they gave the prayers thereof to God, who had wrought so wonderfully for them, thus to inclose their enemise in their hands, and give them so speedy a victory over so proud and insulting an enimie.

As Dr. Cotton Mather, Puritan theologian, put it: "It was supposed that no less than 600 Pequot souls were brought down to hell that day."

The war continued. Indian tribes were used against one another, and never seemed able to join together in fighting the English. Jennings sums up:

The terror was very real among the Indians, but in time they came to meditate upon its foundations. They drew three lessons from the Pequot War: (1) that the Englishmen's most solemn pledge would be broken whenever obligation conflicted with advantage; (2) that the English way of war had no limit of scruple or mercy; and (3) that weapons of Indian making were almost useless against weapons of European manufacture. These lessons the Indians took to heart.

A footnote in Virgil Vogel's book *This Land Was Ours* (1972) says: "The official figure on the number of Pequots now in Connecticut is twenty-one persons."

Forty years after the Pequot War, Puritans and Indians fought again. This time it was the Wampanoags, occupying the south shore of Massachusetts Bay, who were in the way and also beginning to trade some of their land to people outside the Massachusetts Bay Colony. Their chief, Massasoit, was dead. His son Wamsutta had been killed by Englishmen, and Wamsutta's brother, Metacom (later to be called King Philip by the English), became chief. The English found their excuse, a murder which they attributed to Metacom, and they began a war of conquest against the Wampanoags, a war to take their land. They were clearly the aggressors, but claimed they attacked for preventive purposes. As Roger Williams, more friendly to the Indians than most, put it: "All men of conscience or prudence ply to windward, to maintain their wars to be defensive."

Jennings says the elite of the Puritans wanted the war; the ordinary white Englishman did not want it and often refused to fight. The Indians certainly did not want war, but they matched atrocity with atrocity. When it was over, in 1676, the English had won, but their resources were drained; they had lost six hundred men. Three thousand Indians were dead, including Metacom himself. Yet the Indian raids did not stop.

For a while, the English tried softer tactics. But ultimately, it was back to annihilation. The Indian population of 10 million that was in North America when Columbus came would ultimately be reduced to less than a million. Huge numbers of Indians would die from diseases introduced by the whites. A Dutch traveler in New Netherland wrote in 1656 that "the Indians... affirm, that before the arrival of the Christians, and before the smallpox broke

out amongst them, they were ten times as numerous as they now are, and that their population had been melted down by this disease, whereof nine-tenths of them have died." When the English first settled Martha's Vineyard in 1642, the Wampanoags there numbered perhaps three thousand. There were no wars on that island, but by 1764, only 313 Indians were left there. Similarly Block Island Indians numbered perhaps 1,200 to 1,500 in 1662, and by 1774 were reduced to fifty-one.

Behind the English invasion of North America, behind their massacre of Indians, their deception, their brutality, was that special powerful drive born in civilizations based on private property. It was a morally ambiguous drive; the need for space, for land, was a real human need. But in conditions of scarcity, in a barbarous epoch of history ruled by competition, this human need was transformed into the murder of whole peoples. Roger Williams said it was

a depraved appetite after the great vanities, dreams and shadows of this vanishing life, great portions of land, land in this wilderness, as if men were in as great necessity and danger for want of great portions of land, as poor, "hungry, thirsty seamen have, after a sick and stormy, a long and starving passage. This is one of the gods of New England, which the living and most high Eternal will destroy and famish.

Was all this bloodshed and deceit - from Columbus to Cortes, Pizarro, the Puritans -- a necessity for the human race to progress from savagery to civilization? Was Morison right in burying the story of genocide inside a more important story of human progress? Perhaps a persuasive argument can be made - as it was made by Stalin when he killed peasants for industrial progress in the Soviet Union, as it was made by Churchill explaining the bombings of Dresden and Hamburg, and Truman explaining Hiroshima. But how can the judgment be made if the benefits and losses cannot be balanced because the losses are either unmentioned or mentioned quickly?

That quick disposal might be acceptable ("Unfortunate, yes, but it had to be done") to the middle and upper classes of the conquering and "advanced" countries. But is it acceptable to the poor of Asia, Africa, Latin America, or to the prisoners in Soviet labor camps, or the blacks in urban ghettos, or the Indians on reservations-to the victims of that progress which benefits a privileged minority in the world? Was it acceptable (or just inescapable?) to the miners and railroaders of America, the factory hands, the men and women who died by the hundreds of thousands from accidents or sickness, where they worked or where they lived-casualties of progress? And even the privileged minority-must it not reconsider, with that practicality which even privilege cannot abolish, the value of its privileges, when they become threatened by the anger of the sacrificed, whether in organized rebellion, unorganized riot, or simply those brutal individual acts of desperation labeled crimes by law and the state?

If there are necessary sacrifices to be made for human progress, is it not essential to hold to the principle that those to be sacrificed must make the decision themselves? We can all decide to give up something of ours, but do we have the right to throw into the pyre the children of others, or even our own children, for a progress which is not nearly as clear or present as sickness or health, life or death?

What did people in Spain get out of all that death and brutality visited on the Indians of the Americas? For a brief period in history, there was the glory of a Spanish Empire in the Western Hemisphere. As Hans Koning sums it up in his book *Columbus: His Enterprise*:

For all the gold and silver stolen and shipped to Spain did not make the Spanish people richer. It gave their kings an edge in the balance of power for a time, a chance to hire more mercenary soldiers for their wars. They ended up losing those wars anyway, and all that was left was a deadly inflation, a starving population, the rich richer, the poor poorer, and a ruined peasant class.

Beyond all that, how certain are we that what was destroyed was inferior? Who were these people who came out on the beach and swam to bring presents to Columbus and his crew, who watched Cortes and Pizarro ride through their countryside, who peered out of the forests at the first white settlers of Virginia and Massachusetts?

Columbus called them Indians, because he miscalculated the size of the earth. In this book we too call them Indians, with some reluctance, because it happens too often that people are saddled with names given them by their conquerors.

And yet, there is some reason to call them Indians, because they did come, perhaps 25,000 years ago, from Asia, across the land bridge of the Bering Straits (later to disappear under water) to Alaska. Then they moved southward, seeking warmth and land, in a trek lasting thousands of years that took them into North America, then Central and South America. In Nicaragua, Brazil, and Ecuador their petrified footprints can still be seen,

along with the print of bison, who disappeared about five thousand years ago, so they must have reached South America at least that far back.

Widely dispersed over the great land mass of the Americas, they numbered 15 or 20 million people by the time Columbus came, perhaps 5 million in North America. Responding to the different environments of soil and climate, they developed hundreds of different tribal cultures, perhaps two thousand different languages. They perfected the art of agriculture, and figured out how to grow maize (corn), which cannot grow by itself and must be planted, cultivated, fertilized, harvested, husked, shelled. They ingeniously developed a variety of other vegetables and fruits, as well as peanuts and chocolate and tobacco and rubber.

On their own, the Indians were engaged in the great agricultural revolution that other peoples in Asia, Europe, Africa were going through about the same time.

While many of the tribes remained nomadic hunters and food gatherers in wandering, egalitarian communes, others began to live in more settled communities where there was more food, larger populations, more divisions of labor among men and women, more surplus to feed chiefs and priests, more leisure time for artistic and social work, for building houses. About a thousand years before Christ, while comparable constructions were going on in Egypt and Mesopotamia, the Zuni and Hopi Indians of what is now New Mexico had begun to build villages consisting of large terraced buildings, nestled in among cliffs and mountains for protection from enemies, with hundreds of rooms in each village. Before the arrival of the European explorers, they were using irrigation canals, dams, were doing ceramics, weaving baskets, making cloth out of cotton.

By the time of Christ and Julius Caesar, there had developed in the Ohio River Valley a culture of so-called Moundbuilders, Indians who constructed thousands of enormous sculptures out of earth, sometimes in the shapes of huge humans, birds, or serpents, sometimes as burial sites, sometimes as fortifications. One of them was 3.5 miles long, enclosing 100 acres. These Moundbuilders seem to have been part of a complex trading system of ornaments and weapons from as far off as the Great Lakes, the Far West, and the Gulf of Mexico.

About A.D. 500, as this Moundbuilder culture of the Ohio Valley was beginning to decline, another culture was developing westward, in the valley of the Mississippi, centered on what is now St. Louis. It had an advanced agriculture, included thousands of villages, and also built huge earthen mounds as burial and ceremonial places near a vast Indian metropolis that may have had thirty thousand people. The largest mound was 100 feet high, with a rectangular base larger than that of the Great Pyramid of Egypt. In the city, known as Cahokia, were toolmakers, hide dressers, potters, jewelrymakers, weavers, saltmakers, copper engravers, and magnificent ceramists. One funeral blanket was made of twelve thousand shell beads.

From the Adirondacks to the Great Lakes, in what is now Pennsylvania and upper New York, lived the most powerful of the northeastern tribes, the League of the Iroquois, which included the Mohawks (People of the Flint), Oneidas (People of the Stone), Onondagas (People of the Mountain), Cayugas (People at the Landing), and Senecas (Great Hill People), thousands of people bound together by a common Iroquois language.

In the vision of the Mohawk chief Hiawatha, the legendary Dekaniwidah spoke to the Iroquois: "We bind ourselves together by taking hold of each other's hands so firmly and forming a circle so strong that if a tree should fall upon it, it could not shake nor break it, so that our people and grandchildren shall remain in the circle in security, peace and happiness."

In the villages of the Iroquois, land was owned in common and worked in common. Hunting was done together, and the catch was divided among the members of the village. Houses were considered common property and were shared by several families. The concept of private ownership of land and homes was foreign to the Iroquois. A French Jesuit priest who encountered them in the 1650s wrote: "No poorhouses are needed among them, because they are neither mendicants nor paupers... Their kindness, humanity and courtesy not only makes them liberal with what they have, but causes them to possess hardly anything except in common."

Women were important and respected in Iroquois society. Families were matrilineal. That is, the family line went down through the female members, whose husbands joined the family, while sons who married then joined their wives' families. Each extended family lived in a "long house." When a woman wanted a divorce, she set her husband's things outside the door.

Families were grouped in clans, and a dozen or more clans might make up a village. The senior women in the village named the men who represented the clans at village and tribal councils. They also named the forty-nine

chiefs who were the ruling council for the Five Nation confederacy of the Iroquois. The women attended clan meetings, stood behind the circle of men who spoke and voted, and removed the men from office if they strayed too far from the wishes of the women.

The women tended the crops and took general charge of village affairs while the men were always hunting or fishing. And since they supplied the moccasins and food for warring expeditions, they had some control over military matters. As Gary B. Nash notes in his fascinating study of early America, *Red, White, and Black*: "Thus power was shared between the sexes - and the European idea of male dominancy and female subordination in all things was conspicuously absent in Iroquois society."

Children in Iroquois society, while taught the cultural heritage of their people and solidarity with the tribe, were also taught to be independent, not to submit to overbearing authority. They were taught equality in status and the sharing of possessions. The Iroquois did not use harsh punishment on children; they did not insist on early weaning or early toilet training, but gradually allowed the child to learn self-care.

All of this was in sharp contrast to European values as brought over by the first colonists, a society of rich and poor, controlled by priests, by governors, by male heads of families. For example, the pastor of the Pilgrim colony, John Robinson, thus advised his parishioners how to deal with their children: "And surely there is in all children . . . a stubbornness, and stoutness of mind arising from natural pride, which must, in the first place, be broken and beaten down; that so the foundation of their education being laid in humility and tractableness, other virtues may, in their time, be built thereon." Gary Nash describes Iroquois culture:

No laws and ordinances, sheriffs and constables, judges and juries, or courts or jails - the apparatus of authority in European societies - were to be found in the northeast woodlands prior to European arrival. Yet boundaries of acceptable behavior were firmly set. Though priding themselves on the autonomous individual, the Iroquois maintained a strict sense of right and wrong... He who stole another's food or acted invalourously in war was "shamed" by his people and ostracized from their company until he had atoned for his actions and demonstrated to their satisfaction that he had morally purified himself.

Not only the Iroquois but other Indian tribes behaved the same way. In 1635, Maryland Indians responded to the governor's demand that if any of them killed an Englishman, the guilty one should be delivered up for punishment according to English law. The Indians said:

It is the manner amongst us Indians, that if any such accident happen, wee doe redeeme the life of a man that is so slaine, with a 100 armes length of Beades and since that you are heere strangers, and come into our Countrey, you should rather conform yourselves to the Customes of our Countrey, than impose yours upon us. . . .

So, Columbus and his successors were not coming into an empty wilderness, but into a world which in some places was as densely populated as Europe itself, where the culture was complex, where human relations were more egalitarian than in Europe, and where the relations among men, women, children, and nature were more beautifully worked out than perhaps any place in the world.

They were people without a written language, but with their own laws, their poetry, their history kept in memory and passed on, in an oral vocabulary more complex than Europe's, accompanied by song, dance, and ceremonial drama. They paid careful attention to the development of personality, intensity of will, independence and flexibility, passion and potency, to their partnership with one another and with nature.

John Collier, an American scholar who lived among Indians in the 1920s and 1930s in the American Southwest, said of their spirit: "Could we make it our own, there would be an eternally inexhaustible earth and a forever lasting peace."

Perhaps there is some romantic mythology in that. But the evidence from European travelers in the sixteenth, seventeenth, and eighteenth centuries, put together recently by an American specialist on Indian life, William Brandon, is overwhelmingly supportive of much of that "myth." Even allowing for the imperfection of myths, it is enough to make us question, for that time and ours, the excuse of progress in the annihilation of races, and the telling of history from the standpoint of the conquerors and leaders of Western civilization.

## Chapter 2 Drawing the Color Line

A black American writer, J. Saunders Redding, describes the arrival of a ship in North America in the year 1619: Sails furled, flag drooping at her rounded stern, she rode the tide in from the sea. She was a strange ship, indeed, by all accounts, a frightening ship, a ship of mystery. Whether she was trader, privateer, or man-of-war no one knows. Through her bulwarks black-mouthed cannon yawned. The flag she flew was Dutch; her crew a motley. Her port of call, an English settlement, Jamestown, in the colony of Virginia. She came, she traded, and shortly afterwards was gone. Probably no ship in modern history has carried a more portentous freight. Her cargo? Twenty slaves.

There is not a country in world history in which racism has been more important, for so long a time, as the United States. And the problem of "the color line," as W. E. B. Du Bois put it, is still with us. So it is more than a purely historical question to ask: How does it start? - and an even more urgent question: How might it end? Or, to put it differently: Is it possible for whites and blacks to live together without hatred?

If history can help answer these questions, then the beginnings of slavery in North America - a continent where we can trace the coming of the first whites and the first blacks - might supply at least a few clues.

Some historians think those first blacks in Virginia were considered as servants, like the white indentured servants brought from Europe. But the strong probability is that, even if they were listed as "servants" (a more familiar category to the English), they were viewed as being different from white servants, were treated differently, and in fact were slaves. In any case, slavery developed quickly into a regular institution, into the normal labor relation of blacks to whites in the New World. With it developed that special racial feeling - whether hatred, or contempt, or pity, or patronization - that accompanied the inferior position of blacks in America for the next 350 years - that combination of inferior status and derogatory thought we call racism.

Everything in the experience of the first white settlers acted as a pressure for the enslavement of blacks.

The Virginians of 1619 were desperate for labor, to grow enough food to stay alive. Among them were survivors from the winter of 1609-1610, the "starving time," when, crazed for want of food, they roamed the woods for nuts and berries, dug up graves to eat the corpses, and died in batches until five hundred colonists were reduced to sixty.

In the *Journals of the House of Burgesses of Virginia* is a document of 1619 which tells of the first twelve years of the Jamestown colony. The first settlement had a hundred persons, who had one small ladle of barley per meal. When more people arrived, there was even less food. Many of the people lived in cavelike holes dug into the ground, and in the winter of 1609-1610, they were

...driven through insufferable hunger to eat those things which nature most abhorred, the flesh and excrements of man as well of our own nation as of an Indian, digged by some out of his grave after he had laid buried there days and wholly devoured him; others, envying the better state of body of any whom hunger has not yet so much wasted as their own, lay wait and threatened to kill and eat them; one among them slew his wife as she slept in his bosom, cut her in pieces, salted her and fed upon her till he had clean devoured all parts saving her head...

A petition by thirty colonists to the House of Burgesses, complaining against the twelve-year governorship of Sir Thomas Smith, said:

In those 12 years of Sir Thomas Smith, his government, we aver that the colony for the most part remained in great want and misery under most severe and cruel laws... The allowance in those times for a man was only eight ounces of meale and half a pint of peas for a day... mouldy, rotten, full of cobwebs and maggots, loathsome to man and not fit for beasts, which forced many to flee for relief to the savage enemy, who being taken again were put to sundry deaths as by hanging, shooting and breaking upon the wheel... of whom one for stealing two or three pints of oatmeal had a bodkin thrust through his tongue and was tied with a chain to a tree until he starved...

The Virginians needed labor, to grow corn for subsistence, to grow tobacco for export. They had just figured out how to grow tobacco, and in 1617 they sent off the first cargo to England. Finding that, like all pleasureable

drugs tainted with moral disapproval, it brought a high price, the planters, despite their high religious talk, were not going to ask questions about something so profitable.

They couldn't force the Indians to work for them, as Columbus had done. They were outnumbered, and while, with superior firearms, they could massacre Indians, they would face massacre in return. They could not capture them and keep them enslaved; the Indians were tough, resourceful, defiant, and at home in these woods, as the transplanted Englishmen were not.

White servants had not yet been brought over in sufficient quantity. Besides, they did not come out of slavery, and did not have to do more than contract their labor for a few years to get their passage and a start in the New World. As for the free white settlers, many of them were skilled craftsmen, or even men of leisure back in England, who were so little inclined to work the land that John Smith, in those early years, had to declare a kind of martial law, organize them into work gangs, and force them into the fields for survival.

There may have been a kind of frustrated rage at their own ineptitude, at the Indian superiority at taking care of themselves, that made the Virginians especially ready to become the masters of slaves. Edmund Morgan imagines their mood as he writes in his book *American Slavery*, *American Freedom*:

If you were a colonist, you knew that your technology was superior to the Indians'. You knew that you were civilized, and they were savages... But your superior technology had proved insufficient to extract anything. The Indians, keeping to themselves, laughed at your superior methods and lived from the land more abundantly and with less labor than you did... And when your own people started deserting in order to live with them, it was too much... So you killed the Indians, tortured them, burned their villages, burned their cornfields. It proved your superiority, in spite of your failures. And you gave similar treatment to any of your own people who succumbed to their savage ways of life. But you still did not grow much corn...

Black slaves were the answer. And it was natural to consider imported blacks as slaves, even if the institution of slavery would not be regularized and legalized for several decades. Because, by 1619, a million blacks had already been brought from Africa to South America and the Caribbean, to the Portuguese and Spanish colonies, to work as slaves. Fifty years before Columbus, the Portuguese took ten African blacks to Lisbon -- this was the start of a regular trade in slaves. African blacks had been stamped as slave labor for a hundred years. So it would have been strange if those twenty blacks, forcibly transported to Jamestown, and sold as objects to settlers anxious for a steadfast source of labor, were considered as anything but slaves.

Their helplessness made enslavement easier. The Indians were on their own land. The whites were in their own European culture. The blacks had been torn from their land and culture, forced into a situation where the heritage of language, dress, custom, family relations, was bit by bit obliterated except for remnants that blacks could hold on to by sheer, extraordinary persistence.

Was their culture inferior - and so subject to easy destruction? Inferior in military capability, yes - vulnerable to whites with guns and ships. But in no other way - except that cultures that are different are often taken as inferior, especially when such a judgment is practical and profitable. Even militarily, while the Westerners could secure forts on the African coast, they were unable to subdue the interior and had to come to terms with its chiefs.

The African civilization was as advanced in its own way as that of Europe. In certain ways, it was more admirable; but it also included cruelties, hierarchical privilege, and the readiness to sacrifice human lives for religion or profit. It was a civilization of 100 million people, using iron implements and skilled in farming. It had large urban centers and remarkable achievements in weaving, ceramics, sculpture.

European travelers in the sixteenth century were impressed with the African kingdoms of Timbuktu and Mali, already stable and organized at a time when European states were just beginning to develop into the modern nation. In 1563, Ramusio, secretary to the rulers in Venice, wrote to the Italian merchants: "Let them go and do business with the King of Timbuktu and Mali and there is no doubt that they will be well-received there with their ships and their goods and treated well, and granted the favours that they ask..."

A Dutch report, around 1602, on the West African kingdom of Benin, said: "The Towne seemeth to be very great, when you enter it. You go into a great broad street, not paved, which seemeth to be seven or eight times broader than the Warmoes Street in Amsterdam...The Houses in this Towne stand in good order, one close and even with the other, as the Houses in Holland stand."

The inhabitants of the Guinea Coast were described by one traveler around 1680 as "very civil and goodnatured people, easy to be dealt with, condescending to what Europeans require of them in a civil way, and very ready to return double the presents we make them."

Africa had a kind of feudalism, like Europe based on agriculture, and with hierarchies of lords and vassals. But African feudalism did not come, as did Europe's, out of the slave societies of Greece and Rome, which had destroyed ancient tribal life. In Africa, tribal life was still powerful, and some of its better features - a communal spirit, more kindness in law and punishment - still existed. And because the lords did not have the weapons that European lords had, they could not command obedience as easily.

In his book *The African Slave Trade*, Basil Davidson contrasts law in the Congo in the early sixteenth century with law in Portugal and England. In those European countries, where the idea of private property was becoming powerful, theft was punished brutally. In England, even as late as 1740, a child could be hanged for stealing a rag of cotton. But in the Congo, communal life persisted, the idea of private property was a strange one, and thefts were punished with fines or various degrees of servitude. A Congolese leader, told of the Portuguese legal codes, asked a Portuguese once, teasingly: "What is the penalty in Portugal for anyone who puts his feet on the ground?"

Slavery existed in the African states, and it was sometimes used by Europeans to justify their own slave trade. But, as Davidson points out, the "slaves" of Africa were more like the serfs of Europe -- in other words, like most of the population of Europe. It was a harsh servitude, but they had rights which slaves brought to America did not have, and they were "altogether different from the human cattle of the slave ships and the American plantations." In the Ashanti Kingdom of West Africa, one observer noted that "a slave might marry; own property; himself own a slave; swear an oath; be a competent witness and ultimately become heir to his master... An Ashanti slave, nine cases out of ten, possibly became an adopted member of the family, and in time his descendants so merged and intermarried with the owner's kinsmen that only a few would know their origin."

One slave trader, John Newton (who later became an antislavery leader), wrote about the people of what is now Sierra Leone:

The state of slavery, among these wild barbarous people, as we esteem them, is much milder than in our colonies. For as, on the one hand, they have no land in high cultivation, like our West India plantations, and therefore no call for that excessive, unintermitted labour, which exhausts our slaves: so, on the other hand, no man is permitted to draw blood even from a slave.

African slavery is hardly to be praised. But it was far different from plantation or mining slavery in the Americas, which was lifelong, morally crippling, destructive of family ties, without hope of any future. African slavery lacked that comes from capitalistic agriculture; the reduction of the slave to less than human status by the use of racial hatred, with that relentless clarity based on color, where white was master, black was slave.

In fact, it was because they came from a settled culture, of tribal customs and family ties, of communal life and traditional ritual, that African blacks found themselves especially helpless when removed from this. They were captured in the interior (frequently by blacks caught up in the slave trade themselves), sold on the coast, then shoved into pens with blacks of other tribes, often speaking different languages.

The conditions of capture and sale were crushing affirmations to the black African of his helplessness in the face of superior force. The marches to the coast, sometimes for 1,000 miles, with people shackled around the neck, under whip and gun, were death marches, in which two of every five blacks died. On the coast, they were kept in cages until they were picked and sold. One John Barbot, at the end of the seventeenth century, described these cages on the Gold Coast:

As the slaves come down to Fida from the inland country, they are put into a booth or prison... near the beach, and when the Europeans are to receive them, they are brought out onto a large plain, where the ship's surgeons examine every part of everyone of them, to the smallest member, men and women being stark naked... Such as are allowed good and sound are set on one side... marked on the breast with a red-hot iron, imprinting the mark of the French, English or Dutch companies... The branded slaves after this are returned to their former booths where they await shipment, sometimes 10-15 days...

Then they were packed aboard the slave ships, in spaces not much bigger than coffins, chained together in the dark, wet slime of the ship's bottom, choking in the stench of their own excrement. Documents of the time describe the conditions:

The height, sometimes, between decks, was only eighteen inches; so that the unfortunate human beings could not turn around, or even on their sides, the elevation being less than the breadth of their shoulders; and here they are usually chained to the decks by the neck and legs. In such a place the sense of misery and suffocation is so great, that the Negroes... are driven to frenzy.

On one occasion, hearing a great noise from below decks where the blacks were chained together, the sailors opened the hatches and found the slaves in different stages of suffocation, many dead, some having killed others in desperate attempts to breathe. Slaves often jumped overboard to drown rather than continue their suffering. To one observer a slave-deck was "so covered with blood and mucus that it resembled a slaughter house."

Under these conditions, perhaps one of every three blacks transported overseas died, but the huge profits (often double the investment on one trip) made it worthwhile for the slave trader, and so the blacks were packed into the holds like fish.

First the Dutch, then the English, dominated the slave trade. (By 1795 Liverpool had more than a hundred ships carrying slaves and accounted for half of all the European slave trade.) Some Americans in New England entered the business, and in 1637 the first American slave ship, the Desire, sailed from Marblehead. Its holds were partitioned into racks, 2 feet by 6 feet, with leg irons and bars.

By 1800, 10 to 15 million blacks had been transported as slaves to the Americas, representing perhaps one-third of those originally seized in Africa. It is roughly estimated that Africa lost 50 million human beings to death and slavery in those centuries we call the beginnings of modern Western civilization, at the hands of slave traders and plantation owners in Western Europe and America, the countries deemed the most advanced in the world.

In the year 1610, a Catholic priest in the Americas named Father Sandoval wrote back to a church functionary in Europe to ask if the capture, transport, and enslavement of African blacks was legal by church doctrine. A letter dated March 12, 1610, from Brother Luis Brandaon to Father Sandoval gives the answer:

Your Reverence writes me that you would like to know whether the Negroes who are sent to your parts have been legally captured. To this I reply that I think your Reverence should have no scruples on this point, because this is a matter which has been questioned by the Board of Conscience in Lisbon, and all its members are learned and conscientious men. Nor did the bishops who were in Sao Thome, Cape Verde, and here in Loando - all learned and virtuous men - find fault with it. We have been here ourselves for forty years and there have been among us very learned Fathers... never did they consider the trade as illicit. Therefore we and the Fathers of Brazil buy these slaves for our service without any scruple...

With all of this - the desperation of the Jamestown settlers for labor, the impossibility of using Indians and the difficulty of using whites, the availability of blacks offered in greater and greater numbers by profit-seeking dealers in human flesh, and with such blacks possible to control because they had just gone through an ordeal which if it did not kill them must have left them in a state of psychic and physical helplessness - is it any wonder that such blacks were ripe for enslavement?

And under these conditions, even if some blacks might have been considered servants, would blacks be treated the same as white servants?

The evidence, from the court records of colonial Virginia, shows that in 1630 a white man named Hugh Davis was ordered "to be soundly whipt... for abusing himself... by defiling his body in lying with a Negro." Ten years later, six servants and "a negro of Mr. Reynolds" started to run away. While the whites received lighter sentences, "Emanuel the Negro to receive thirty stripes and to be burnt in the cheek with the letter R, and to work in shackle one year or more as his master shall see cause."

Although slavery was not yet regularized or legalized in those first years, the lists of servants show blacks listed separately. A law passed in 1639 decreed that "all persons except Negroes" were to get arms and ammunition - probably to fight off Indians. When in 1640 three servants tried to run away, the two whites were punished with a lengthening of their service. But, as the court put it, "the third being a negro named John Punch shall serve his master or his assigns for the time of his natural life." Also in 1640, we have the case of a Negro woman servant who begot a child by Robert Sweat, a white man. The court ruled "that the said negro woman shall be whipt at

the whipping post and the said Sweat shall tomorrow in the forenoon do public penance for his offense at James citychurch..."

This unequal treatment, this developing combination of contempt and oppression, feeling and action, which we call "racism" - was this the result of a "natural" antipathy of white against black? The question is important, not just as a matter of historical accuracy, but because any emphasis on "natural" racism lightens the responsibility of the social system. If racism can't be shown to be natural, then it is the result of certain conditions, and we are impelled to eliminate those conditions.

We have no way of testing the behavior of whites and blacks toward one another under favorable conditions with no history of subordination, no money incentive for exploitation and enslavement, no desperation for survival requiring forced labor. All the conditions for black and white in seventeenth-century America were the opposite of that, all powerfully directed toward antagonism and mistreatment. Under such conditions even the slightest display of humanity between the races might be considered evidence of a basic human drive toward community.

Sometimes it is noted that, even before 1600, when the slave trade had just begun, before Africans were stamped by it - literally and symbolically - the color black was distasteful. In England, before 1600, it meant, according to the Oxford English Dictionary: "Deeply stained with dirt; soiled, dirty, foul. Having dark or deadly purposes, malignant; pertaining to or involving death, deadly; baneful, disastrous, sinister. Foul, iniquitous, atrocious, horribly wicked. Indicating disgrace, censure, liability to punishment, etc." And Elizabethan poetry often used the color white in connection with beauty.

It may be that, in the absence of any other overriding factor, darkness and blackness, associated with night and unknown, would take on those meanings. But the presence of another human being is a powerful fact, and the conditions of that presence are crucial in determining whether an initial prejudice, against a mere color, divorced from humankind, is turned into brutality and hatred.

In spite of such preconceptions about blackness, in spite of special subordination of blacks in the Americas in the seventeenth century, there is evidence that where whites and blacks found themselves with common problems, common work, common enemy in their master, they behaved toward one another as equals. As one scholar of slavery, Kenneth Stampp, has put it, Negro and white servants of the seventeenth century were "remarkably unconcerned about the visible physical differences."

Black and white worked together, fraternized together. The very fact that laws had to be passed after a while to forbid such relations indicates the strength of that tendency. In 1661 a law was passed in Virginia that "in case any English servant shall run away in company of any Negroes" he would have to give special service for extra years to the master of the runaway Negro. In 1691, Virginia provided for the banishment of any "white man or woman being free who shall intermarry with a negro, mulatoo, or Indian man or woman bond or free."

There is an enormous difference between a feeling of racial strangeness, perhaps fear, and the mass enslavement of millions of black people that took place in the Americas. The transition from one to the other cannot be explained easily by "natural" tendencies. It is not hard to understand as the outcome of historical conditions.

Slavery grew as the plantation system grew. The reason is easily traceable to something other than natural racial repugnance: the number of arriving whites, whether free or indentured servants (under four to seven years contract), was not enough to meet the need of the plantations. By 1700, in Virginia, there were 6,000 slaves, one-twelfth of the population. By 1763, there were 170,000 slaves, about half the population.

Blacks were easier to enslave than whites or Indians. But they were still not easy to enslave. From the beginning, the imported black men and women resisted their enslavement. Ultimately their resistance was controlled, and slavery was established for 3 million blacks in the South. Still, under the most difficult conditions, under pain of mutilation and death, throughout their two hundred years of enslavement in North America, these Afro-Americans continued to rebel. Only occasionally was there an organized insurrection. More often they showed their refusal to submit by running away. Even more often, they engaged in sabotage, slowdowns, and subtle forms of resistance which asserted, if only to themselves and their brothers and sisters, their dignity as human beings.

The refusal began in Africa. One slave trader reported that Negroes were "so wilful and loth to leave their own country, that they have often leap'd out of the canoes, boat and ship into the sea, and kept under water til they were drowned."

When the very first black slaves were brought into Hispaniola in 1503, the Spanish governor of Hispaniola complained to the Spanish court that fugitive Negro slaves were teaching disobedience to the Indians. In the 1520s and 1530s, there were slave revolts in Hispaniola, Puerto Rico, Santa Marta, and what is now Panama. Shortly after those rebellions, the Spanish established a special police for chasing fugitive slaves.

A Virginia statute of 1669 referred to "the obstinacy of many of them," and in 1680 the Assembly took note of slave meetings "under the pretense of feasts and brawls" which they considered of "dangerous consequence." In 1687, in the colony's Northern Neck, a plot was discovered in which slaves planned to kill all the whites in the area and escape during a mass funeral.

Gerald Mullin, who studied slave resistance in eighteenth-century Virginia in his work *Flight and Rebellion*, reports:

The available sources on slavery in 18th-century Virginia - plantation and county records, the newspaper advertisements for runaways - describe rebellious slaves and few others. The slaves described were lazy and thieving; they feigned illnesses, destroyed crops, stores, tools, and sometimes attacked or killed overseers. They operated black markets in stolen goods. Runaways were defined as various types, they were truants (who usually returned voluntarily), "outlaws"... and slaves who were actually fugitives: men who visited relatives, went to town to pass as free, or tried to escape slavery completely, either by boarding ships and leaving the colony, or banding together in cooperative efforts to establish villages or hide-outs in the frontier. The commitment of another type of rebellious slave was total; these men became killers, arsonists, and insurrectionists.

Slaves recently from Africa, still holding on to the heritage of their communal society, would run away in groups and try to establish villages of runaways out in the wilderness, on the frontier. Slaves born in America, on the other hand, were more likely to run off alone, and, with the skills they had learned on the plantation, try to pass as free men.

In the colonial papers of England, a 1729 report from the lieutenant governor of Virginia to the British Board of Trade tells how "a number of Negroes, about fifteen... formed a design to withdraw from their Master and to fix themselves in the fastnesses of the neighboring Mountains. They had found means to get into their possession some Arms and Ammunition, and they took along with them some Provisions, their Cloths, bedding and working Tools... Tho' this attempt has happily been defeated, it ought nevertheless to awaken us into some effectual measures..."

Slavery was immensely profitable to some masters. James Madison told a British visitor shortly after the American Revolution that he could make \$257 on every Negro in a year, and spend only \$12 or \$13 on his keep. Another viewpoint was of slaveowner Landon Carter, writing about fifty years earlier, complaining that his slaves so neglected their work and were so uncooperative ("either cannot or will not work") that he began to wonder if keeping them was worthwhile.

Some historians have painted a picture - based on the infrequency of organized rebellions and the ability of the South to maintain slavery for two hundred years - of a slave population made submissive by their condition; with their African heritage destroyed, they were, as Stanley Elkins said, made into "Sambos," "a society of helpless dependents." Or as another historian, Ulrich Phillips, said, "by racial quality submissive." But looking at the totality of slave behavior, at the resistance of everyday life, from quiet noncooperation in work to running away, the picture becomes different.

In 1710, warning the Virginia Assembly, Governor Alexander Spotswood said:

...freedom wears a cap which can without a tongue, call together all those who long to shake off the fetters of slavery and as such an Insurrection would surely be attended with most dreadful consequences so I think we cannot be too early in providing against it, both by putting our selves in a better posture of defence and by making a law to prevent the consultations of those Negroes.

Indeed, considering the harshness of punishment for running away, that so many blacks did run away must be a sign of a powerful rebelliousness. All through the 1700s, the Virginia slave code read:

Whereas many times slaves run away and lie hid and lurking in swamps, woods, and other obscure places, killing hogs, and commiting other injuries to the inhabitants... if the slave does not immediately return, anyone whatsoever may kill or destroy such slaves by such ways and means as he... shall think fit... If the slave is apprehended... it shall... be lawful for the county court, to order such punishment for the said slave, either by dismembering, or in any other way... as they in their discretion shall think fit, for the reclaiming any such incorrigible slave, and terrifying others from the like practices...

Mullin found newspaper advertisements between 1736 and 1801 for 1,138 men runaways, and 141 women. One consistent reason for running away was to find members of one's family - showing that despite the attempts of the slave system to destroy family ties by not allowing marriages and by separating families, slaves would face death and mutilation to get together.

In Maryland, where slaves were about one-third of the population in 1750, slavery had been written into law since the 1660s, and statutes for controlling rebellious slaves were passed. There were cases where slave women killed their masters, sometimes by poisoning them, sometimes by burning tobacco houses and homes. Punishment ranged from whipping and branding to execution, but the trouble continued. In 1742, seven slaves were put to death for murdering their master.

Fear of slave revolt seems to have been a permanent fact of plantation life. William Byrd, a wealthy Virginia slaveowner, wrote in 1736:

We have already at least 10,000 men of these descendants of Ham, fit to bear arms, and these numbers increase every day, as well by birth as by importation. And in case there should arise a man of desperate fortune, he might with more advantage than Cataline kindle a servile war... and tinge our rivers wide as they are with blood.

It was an intricate and powerful system of control that the slaveowners developed to maintain their labor supply and their way of life, a system both subtle and crude, involving every device that social orders employ for keeping power and wealth where it is. As Kenneth Stampp puts it:

A wise master did not take seriously the belief that Negroes were natural-born slaves. He knew better. He knew that Negroes freshly imported from Africa had to be broken into bondage; that each succeeding generation had to be carefully trained. This was no easy task, for the bondsman rarely submitted willingly. Moreover, he rarely submitted completely. In most cases there was no end to the need for control - at least not until old age reduced the slave to a condition of helplessness.

The system was psychological and physical at the same time. The slaves were taught discipline, were impressed again and again with the idea of their own inferiority to "know their place," to see blackness as a sign of subordination, to be awed by the power of the master, to merge their interest with the master's, destroying their own individual needs. To accomplish this there was the discipline of hard labor, the breakup of the slave family, the lulling effects of religion (which sometimes led to "great mischief," as one slaveholder reported), the creation of disunity among slaves by separating them into field slaves and more privileged house slaves, and finally the power of law and the immediate power of the overseer to invoke whipping, burning, mutilation, and death. Dismemberment was provided for in the Virginia Code of 1705. Maryland passed a law in 1723 providing for cutting off the ears of blacks who struck whites, and that for certain serious crimes, slaves should be hanged and the body quartered and exposed.

Still, rebellions took place - not many, but enough to create constant fear among white planters. The first large-scale revolt in the North American colonies took place in New York in 1712. In New York, slaves were 10 percent of the population, the highest proportion in the northern states, where economic conditions usually did not require large numbers of field slaves. About twenty-five blacks and two Indians set fire to a building, then killed nine whites who came on the scene. They were captured by soldiers, put on trial, and twenty-one were executed. The governor's report to England said: "Some were burnt, others were hanged, one broke on the wheel, and one hung alive in chains in the town..." One had been burned over a slow fire for eight to ten hours - all this to serve notice to other slaves.

A letter to London from South Carolina in 1720 reports:

I am now to acquaint you that very lately we have had a very wicked and barbarous plot of the designe of the negroes rising with a designe to destroy all the white people in the country and then to take Charles Town in full body but it pleased God it was discovered and many of them taken prisoners and some burnt and some hang'd and some banish'd.

As a result, one Negro was executed in Boston, and the Boston Council ruled that any slaves who on their own gathered in groups of two or more were to be punished by whipping.

At Stono, South Carolina, in 1739, about twenty slaves rebelled, killed two warehouse guards, stole guns and gunpowder, and headed south, killing people in their way, and burning buildings. They were joined by others, until there were perhaps eighty slaves in all and, according to one account of the time, "they called out Liberty, marched on with Colours displayed, and two Drums beating." The militia found and attacked them. In the ensuing battle perhaps fifty slaves and twenty-five whites were killed before the uprising was crushed.

Herbert Aptheker, who did detailed research on slave resistance in North America for his book *American Negro Slave Revolts*, found about 250 instances where a minimum of ten slaves joined in a revolt or conspiracy.

From time to time, whites were involved in the slave resistance. As early as 1663, indentured white servants and black slaves in Gloucester County, Virginia, formed a conspiracy to rebel and gain their freedom. The plot was betrayed, and ended with executions. Mullin reports that the newspaper notices of runaways in Virginia often warned "ill-disposed" whites about harboring fugitives. Sometimes slaves and free men ran off together, or cooperated in crimes together. Sometimes, black male slaves ran off and joined white women. From time to time, white ship captains and watermen dealt with runaways, perhaps making the slave a part of the crew.

In New York in 1741, there were ten thousand whites in the city and two thousand black slaves. It had been a hard winter and the poor - slave and free - had suffered greatly. When mysterious fires broke out, blacks and whites were accused of conspiring together. Mass hysteria developed against the accused. After a trial full of lurid accusations by informers, and forced confessions, two white men and two white women were executed, eighteen slaves were hanged, and thirteen slaves were burned alive.

Only one fear was greater than the fear of black rebellion in the new American colonies. That was the fear that discontented whites would join black slaves to overthrow the existing order. In the early years of slavery, especially, before racism as a way of thinking was firmly ingrained, while white indentured servants were often treated as badly as black slaves, there was a possibility of cooperation. As Edmund Morgan sees it:

There are hints that the two despised groups initially saw each other as sharing the same predicament. It was common, for example, for servants and slaves to run away together, steal hogs together, get drunk together. It was not uncommon for them to make love together. In Bacon's Rebellion, one of the last groups to surrender was a mixed band of eighty negroes and twenty English servants.

As Morgan says, masters, "initially at least, perceived slaves in much the same way they had always perceived servants... shiftless, irresponsible, unfaithful, ungrateful, dishonest..." And "if freemen with disappointed hopes should make common cause with slaves of desperate hope, the results might be worse than anything Bacon had done."

And so, measures were taken. About the same time that slave codes, involving discipline and punishment, were passed by the Virginia Assembly,

Virginia's ruling class, having proclaimed that all white men were superior to black, went on to offer their social (but white) inferiors a number of benefits previously denied them. In 1705 a law was passed requiring masters to provide white servants whose indenture time was up with ten bushels of corn, thirty shillings, and a gun, while women servants were to get 15 bushels of corn and forty shillings. Also, the newly freed servants were to get 50 acres of land.

Morgan concludes: "Once the small planter felt less exploited by taxation and began to prosper a little, he became less turbulent, less dangerous, more respectable. He could begin to see his big neighbor not as an extortionist but as a powerful protector of their common interests."

We see now a complex web of historical threads to ensnare blacks for slavery in America: the desperation of starving settlers, the special helplessness of the displaced African, the powerful incentive of profit for slave trader and planter, the temptation of superior status for poor whites, the elaborate controls against escape and rebellion, the legal and social punishment of black and white collaboration.

The point is that the elements of this web are historical, not "natural." This does not mean that they are easily disentangled, dismantled. It means only that there is a possibility for something else, under historical conditions not yet realized. And one of these conditions would be the elimination of that class exploitation which has made

poor whites desperate for small gifts of status, and has prevented that unity of black and white necessary for joint rebellion and reconstruction.

Around 1700, the Virginia House of Burgesses declared:

The Christian Servants in this country for the most part consists of the Worser Sort of the people of Europe. And since... such numbers of Irish and other Nations have been brought in of which a great many have been soldiers in the late wars that according to our present Circumstances we can hardly governe them and if they were fitted with Armes and had the Opertunity of meeting together by Musters we have just reason to fears they may rise upon us.

It was a kind of class consciousness, a class fear. There were things happening in early Virginia, and in the other colonies, to warrant it.

## Chapter 3 Persons of Mean and Vile Condition

In 1676, seventy years after Virginia was founded, a hundred years before it supplied leadership for the American Revolution, that colony faced a rebellion of white frontiersmen, joined by slaves and servants, a rebellion so threatening that the governor had to flee the burning capital of Jamestown, and England decided to send a thousand soldiers across the Atlantic, hoping to maintain order among forty thousand colonists. This was Bacon's Rebellion. After the uprising was suppressed, its leader, Nathaniel Bacon, dead, and his associates hanged, Bacon was described in a Royal Commission report:

He was said to be about four or five and thirty years of age, indifferent tall but slender, black-hair'd and of an ominous, pensive, melancholly Aspect, of a pestilent and prevalent Logical discourse tending to atheism... He seduced the Vulgar and most ignorant people to believe (two thirds of each county being of that Sort) See that their whole hearts and hopes were set now upon Bacon. Next he charges the Governour as negligent and wicked, treacherous and incapable, the Lawes and Taxes as unjust and oppressive and cryes up absolute necessity of redress. Thus Bacon encouraged the Tumult and as the unquiet crowd follow and adhere to him, he listeth them as they come in upon a large paper, writing their name circular wise, that their Ringleaders might not be found out. Having connur'd them into this circle, given them Brandy to wind up the charme, and enjoyned them by an oath to stick fast together and to him and the oath being administered, he went and infected New Kent County ripe for Rebellion.

Bacon's Rebellion began with conflict over how to deal with the Indians, who were close by, on the western frontier, constantly threatening. Whites who had been ignored when huge land grants around Jamestown were given away had gone west to find land, and there they encountered Indians. Were those frontier Virginians resentful that the politicos and landed aristocrats who controlled the colony's government in Jamestown first pushed them westward into Indian territory, and then seemed indecisive in fighting the Indians? That might explain the character of their rebellion, not easily classifiable as either anti-aristocrat or anti-Indian, because it was both.

And the governor, William Berkeley, and his Jamestown crowd were they more conciliatory to the Indians (they wooed certain of them as spies and allies) now that they had monopolized the land in the East, could use frontier whites as a buffer, and needed peace? The desperation of the government in suppressing the rebellion seemed to have a double motive: developing an Indian policy which would divide Indians in order to control them (in New England at this very time, Massasoit's son Metacom was threatening to unite Indian tribes, and had done frightening damage to Puritan settlements in "King Philip's War"); and teaching the poor whites of Virginia that rebellion did not pay-by a show of superior force, by calling for troops from England itself, by mass hanging.

Violence had escalated on the frontier before the rebellion. Some Doeg Indians took a few hogs to redress a debt, and whites, retrieving the hogs, murdered two Indians. The Doegs then sent out a war party to kill a white herdsman, after which a white militia company killed twenty-four Indians. This led to a series of Indian raids, with the Indians, outnumbered, turning to guerrilla warfare. The House of Burgesses in Jamestown declared war on the Indians, but proposed to exempt those Indians who cooperated. This seemed to anger the frontierspeople, who wanted total war but also resented the high taxes assessed to pay for the war.

Times were hard in 1676. "There was genuine distress, genuine poverty... All contemporary sources speak of the great mass of people as living in severe economic straits," writes Wilcomb Washburn, who, using British colonial records, has done an exhaustive study of Bacon's Rebellion. It was a dry summer, ruining the corn crop, which was needed for food, and the tobacco crop, needed for export. Governor Berkeley, in his seventies, tired of holding office, wrote wearily about his situation: "How miserable that man is that Governes a People where six parts of seaven at least are Poore Endebted Discontented and Armed."

His phrase "six parts of seaven" suggests the existence of an upper class not so impoverished. In fact, there was such a class already developed in Virginia. Bacon himself came from this class, had a good bit of land, and was probably more enthusiastic about killing Indians than about redressing the grievances of the poor. But he became a symbol of mass resentment against the Virginia establishment, and was elected in the spring of 1676 to the House of Burgesses. When he insisted on organizing armed detachments to fight the Indians, outside official control, Berkeley proclaimed him a rebel and had him captured, whereupon two thousand Virginians

marched into Jamestown to support him. "Berkeley let Bacon go, in return for an apology, but Bacon went off, gathered his militia, and began raiding the Indians.

Bacon's "Declaration of the People" of July 1676 shows a mixture of populist resentment against the rich and frontier hatred of the Indians. It indicted the Berkeley administration for unjust taxes, for putting favorites in high positions, for monopolizing the beaver trade, and for not protecting the western farmers from the Indians. Then Bacon went out to attack the friendly Pamunkey Indians, killing eight, taking others prisoner, plundering their possessions.

There is evidence that the rank and file of both Bacon's rebel army and Berkeley's official army were not as enthusiastic as their leaders. There were mass desertions on both sides, according to Washburn. In the fall, Bacon, aged twenty-nine, fell sick and died, because of, as a contemporary put it, "swarmes of Vermyn that bred in his body." A minister, apparently not a sympathizer, wrote this epitaph:

Bacon is Dead I am sorry at my heart

That lice and flux should take the hangmans part.

The rebellion didn't last long after that. A ship armed with thirty guns, cruising the York River, became the base for securing order, and its captain, Thomas Grantham, used force and deception to disarm the last rebel forces. Coming upon the chief garrison of the rebellion, he found four hundred armed Englishmen and Negroes, a mixture of free men, servants, and slaves. He promised to pardon everyone, to give freedom to slaves and servants, whereupon they surrendered their arms and dispersed, except for eighty Negroes and twenty English who insisted on keeping their arms. Grantham promised to take them to a garrison down the river, but when they got into the boat, he trained his big guns on them, disarmed them, and eventually delivered the slaves and servants to their masters. The remaining garrisons were overcome one by one. Twenty-three rebel leaders were hanged.

It was a complex chain of oppression in Virginia. The Indians were plundered by white frontiersmen, who were taxed and controlled by the Jamestown elite. And the whole colony was being exploited by England, which bought the colonists' tobacco at prices it dictated and made 100,000 pounds a year for the King. Berkeley himself, returning to England years earlier to protest the English Navigation Acts, which gave English merchants a monopoly of the colonial trade, had said:

... we cannot but resent, that forty thousand people should be impoverish'd to enrich little more than forty Merchants, who being the only buyers of our Tobacco, give us what they please for it, and after it is here, sell it how they please; and indeed have forty thousand servants in us at cheaper rates, than any other men have slaves...

From the testimony of the governor himself, the rebellion against him had the overwhelming support of the Virginia population. A member of his Council reported that the defection was "almost general" and laid it to "the Lewd dispositions of some Persons of desperate Fortunes" who had "the Vaine hopes of takeing the Countrey wholley out of his Majesty's handes into their owne." Another member of the Governor's Council, Richard Lee, noted that Bacon's Rebellion had started over Indian policy. But the "zealous inclination of the multitude" to support Bacon was due, he said, to "hopes of levelling."

"Levelling" meant equalizing the wealth. Levelling was to be behind countless actions of poor whites against the rich in all the English colonies, in the century and a half before the Revolution.

The servants who joined Bacon's Rebellion were part of a large underclass of miserably poor whites who came to the North American colonies from European cities whose governments were anxious to be rid of them. In England, the development of commerce and capitalism in the 15OOs and 1600s, the enclosing of land for the production of wool, filled the cities with vagrant poor, and from the reign of Elizabeth on, laws were passed to punish them, imprison them in workhouses, or exile them. The Elizabethan definition of "rogues and vagabonds" included:

. . . All persons calling themselves Schollers going about begging, all Seafaring men pretending losses of their Shippes or goods on the sea going about the Country begging, all idle persons going about in any Country either begging or using any subtile crafte or unlawful Games. . . comon Players of Interludes and Minstrells wandring abroade . . . all wandering persons and com on Labourers being persons able in bodye using loytering and refusing to worke for such reasonable wages as is taxed or commonly given. . .

Such persons found begging could be stripped to the waist and whipped bloody, could be sent out of the city, sent to workhouses, or transported out of the country.

In the 1600s and 1700s, by forced exile, by lures, promises, and lies, by kidnapping, by their urgent need to escape the living conditions of the home country, poor people wanting to go to America became commodities of profit for merchants, traders, ship captains, and eventually their masters in America. Abbot Smith, in his study of indentured servitude, Colonists in Bondage, writes: "From the complex pattern of forces producing emigration to the American colonies one stands out clearly as most powerful in causing the movement of servants. This was the pecuniary profit to be made by shipping them."

After signing the indenture, in which the immigrants agreed to pay their cost of passage by working for a master for five or seven years, they were often imprisoned until the ship sailed, to make sure they did not run away. In the year 1619, the Virginia House of Burgesses, born that year as the first representative assembly in America (it was also the year of the first importation of black slaves), provided for the recording and enforcing of contracts between servants and masters. As in any contract between unequal powers, the parties appeared on paper as equals, but enforcement was far easier for master than for servant.

The voyage to America lasted eight, ten, or twelve weeks, and the servants were packed into ships with the same fanatic concern for profits that marked the slave ships. If the weather was bad, and the trip took too long, they ran out of food. The sloop *Sea-Flower*, leaving Belfast in 1741, was at sea sixteen weeks, and when it arrived in Boston, forty-six of its 106 passengers were dead of starvation, six of them eaten by the survivors. On another trip, thirty-two children died of hunger and disease and were thrown into the ocean. Gottlieb Mittelberger, a musician, traveling from Germany to America around 1750, wrote about his voyage:

During the journey the ship is full of pitiful signs of distress - smells, fumes, horrors, vomiting, various kinds of sea sickness, fever, dysentery, headaches, heat, constipation, boils, scurvy, cancer, mouth-rot, and similar afflictions, all of them caused by the age and the high salted state of the food, especially of the meat, as well as by the very bad and filthy water... Add to all that shortage of food, hunger, thirst, frost, heat, dampness, fear, misery, vexation, and lamentation as well as other troubles... On board our ship, on a day on which we had a great storm, a woman about to give birth and unable to deliver under the circumstances, was pushed through one of the portholes into the sea...

Indentured servants were bought and sold like slaves. An announcement in the Virginia Gazette, March 28, 1771, read:

Just arrived at Leedstown, the Ship Justitia, with about one Hundred Healthy Servants, Men Women & Boys... The Sale will commence on Tuesday the 2nd of April.

Against the rosy accounts of better living standards in the Americas one must place many others, like one immigrant's letter from America: "Whoever is well off in Europe better remain there. Here is misery and distress, same as everywhere, and for certain persons and conditions... incomparably more than in Europe."

Beatings and whippings were common. Servant women were raped. One observer testified: "I have seen an Overseer beat a Servant with a cane about the head till the blood has followed, for a fault that is not worth the speaking of..." The Maryland court records showed many servant suicides. In 1671, Governor Berkeley of Virginia reported that in previous years four of five servants died of disease after their arrival. Many were poor children, gathered up by the hundreds on the streets of English cities and sent to Virginia to work.

The master tried to control completely the sexual lives of the servants. It was in his economic interest to keep women servants from marrying or from having sexual relations, because childbearing would interfere with work. Benjamin Franklin, writing as "Poor Richard" in 1736, gave advice to his readers: "Let thy maidservant be faithful, strong and homely."

Servants could not marry without permission, could be separated" from their families, could be whipped for various offenses. Pennsylvania law in the seventeenth century said that marriage of servants "without the consent of the Masters... shall be proceeded against as for Adultery, or fornication, and Children to be reputed as Bastards."

Although colonial laws existed to stop excesses against servants, they were not very well enforced, we learn from Richard Morris's comprehensive study of early court records in *Government and Labor in Early America*. Servants did not participate in juries. Masters did. (And being propertyless, servants did not vote.) In 1666, a New England court accused a couple of the death of a servant after the mistress had cut off the servant's toes.

The jury voted acquittal. In Virginia in the 1660s, a master was convicted of raping two women servants. He also was known to beat his own wife and children; he had whipped and chained another servant until he died. The master was berated by the court, but specifically cleared on the rape charge, despite overwhelming evidence.

Sometimes servants organized rebellions, but one did not find on the mainland the kind of large-scale conspiracies of servants that existed, for instance, on Barbados in the West Indies. (Abbot Smith suggests this was because there was more chance of success on a small island.)

However in York County, Virginia, in 1661, a servant named Isaac Friend proposed to another, after much dissatisfaction with the food, that they "get a matter of Forty of them together, and get Gunnes & hee would be the first & lead them and cry as they went along, 'who would be for Liberty, and free from bondage', & that there would enough come to them and they would goe through the Countrey and kill those that made any opposition and that they would either be free or dye for it." The scheme was never carried out, but two years later, in Gloucester County, servants again planned a general uprising. One of them gave the plot away, and four were executed. The informer was given his freedom and 5,000 pounds of tobacco. Despite the rarity of servants' rebellions, the threat was always there, and masters were fearful.

Finding their situation intolerable, and rebellion impractical in an increasingly organized society, servants reacted in individual ways. The files of the county courts in New England show that one servant struck at his master with a pitchfork. An apprentice servant was accused of "laying violent hands upon his... master, and throwing him downe twice and feching bloud of him, threatening to breake his necke, running at his face with a chayre..." One maidservant was brought into court for being "bad, unruly, sulen, careles, destructive, and disobedient."

After the participation of servants in Bacon's Rebellion, the Virginia legislature passed laws to punish servants who rebelled. The preamble to the act said:

Whereas many evil disposed servants in these late tymes of horrid rebellion taking advantage of the loosnes and liberty of the tyme, did depart from their service, and followed the rebells in rebellion, wholy neglecting their masters imployment whereby the said masters have suffered great damage and injury.

Two companies of English soldiers remained in Virginia to guard against future trouble, and their presence was defended in a report to the Lords of Trade and Plantation saying: "Virginia is at present poor and more populous than ever. There is great apprehension of a rising among the servants, owing to their great necessities and want of clothes; they may plunder the storehouses and ships."

Escape was easier than rebellion. "Numerous instances of mass desertions by white servants took place in the Southern colonies," reports Richard Morris, on the basis of an inspection of colonial newspapers in the 1700s. "The atmosphere of seventeenth-century Virginia," he says, "was charged with plots and rumors of combinations of servants to run away." The Maryland court records show, in the 1650s, a conspiracy of a dozen servants to seize a boat and to resist with arms if intercepted. They were captured and whipped.

The mechanism of control was formidable. Strangers had to show passports or certificates to prove they were free men. Agreements among the colonies provided for the extradition of fugitive servants - these became the basis of the clause in the U.S. Constitution that persons "held to Service or Labor in one State... escaping into another... shall be delivered up..."

Sometimes, servants went on strike. One Maryland master complained to the Provincial Court in 1663 that his servants did "peremptorily and positively refuse to goe and doe their ordinary labor." The servants responded that they were fed only "Beanes and Bread" and they were "soe weake, wee are not able to perform the imploym'ts hee puts us uppon." They were given thirty lashes by the court.

More than half the colonists who came to the North American shores in the colonial period came as servants. They were mostly English in the seventeenth century, Irish and German in the eighteenth century. More and more, slaves replaced them, as they ran away to freedom or finished their time, but as late as 1755, white servants made up 10 percent of the population of Maryland.

What happened to these servants after they became free? There are cheerful accounts in which they rise to prosperity, becoming landowners and important figures. But Abbot Smith, after a careful study, concludes that colonial society "was not democratic and certainly not equalitarian; it was dominated by men who had money

enough to make others work for them." And: "Few of these men were descended from indentured servants, and practically none had themselves been of that class."

After we make our way through Abbot Smith's disdain for the servants, as "men and women who were dirty and lazy, rough, ignorant, lewd, and often criminal," who "thieved and wandered, had bastard children, and corrupted society with loathsome diseases," we find that "about one in ten was a sound and solid individual, who would if fortunate survive his 'seasoning,' work out his time, take up land, and wax decently prosperous." Perhaps another one in ten would become an artisan or an overseer. The rest, 80 percent, who were "certainly... shiftless, hopeless, ruined individuals," either "died during their servitude, returned to England after it was over, or became 'poor whites.'"

Smith's conclusion is supported by a more recent study of servants in seventeenth-century Maryland, where it was found that the first batches of servants became landowners and politically active in the colony, but by the second half of the century more than half the servants, even after ten years of freedom, remained landless. Servants became tenants, providing cheap labor for the large planters both during and after their servitude.

It seems quite clear that class lines hardened through the colonial period; the distinction between rich and poor became sharper. By 1700 there were fifty rich families in Virginia, with wealth equivalent to 50,000 pounds (a huge sum those days), who lived off the labor of black slaves and white servants, owned the plantations, sat on the governor's council, served as local magistrates. In Maryland, the settlers were ruled by a proprietor whose right of total control over the colony had been granted by the English King. Between 1650 and 1689 there were five revolts against the proprietor.

In the Carolinas, the Fundamental Constitutions were written in the 1660s by John Locke, who is often considered the philosophical father of the Founding Fathers and the American system. Locke's constitution set up a feudal-type aristocracy, in which eight barons would own 40 percent of the colony's land, and only a baron could be governor. When the crown took direct control of North Carolina, after a rebellion against the land arrangements, rich speculators seized half a million acres for themselves, monopolizing the good farming land near the coast. Poor people, desperate for land, squatted on bits of farmland and fought all through the pre-Revolutionary period against the landlords' attempts to collect rent.

Carl Bridenbaugh's study of colonial cities, *Cities in the Wilderness*, reveals a clear-cut class system. He finds:

The leaders of early Boston were gentlemen of considerable wealth who, in association with the clergy, eagerly sought to preserve in America the social arrangements of the Mother Country. By means of their control of trade and commerce, by their political domination of the inhabitants through church and Town Meeting, and by careful marriage alliances among themselves, members of this little oligarchy laid the foundations for an aristocratic class in seventeenth century Boston.

At the very start of the Massachusetts Bay Colony in 1630, the governor, John Winthrop, had declared the philosophy of the rulers: "...in all times some must be rich, some poore, some highe and eminent in power and dignitie; others meane and in subjection."

Rich merchants erected mansions; persons "of Qualitie" traveled in coaches or sedan chairs, had their portraits painted, wore periwigs, and filled themselves with rich food and Madeira. A petition came from the town of Deerfield in 1678 to the Massachusetts General Court: "You may be pleased to know that the very principle and best of the land; the best for soile; the best for situation; as laying in ye center and midle of the town: and as to quantity, nere half, belongs unto eight or nine proprietors. . ."

In Newport, Rhode Island, Bridenbaugh found, as in Boston, that "the town meetings, while ostensibly democratic, were in reality controlled year after year by the same group of merchant aristocrats, who secured most of the important offices..." A contemporary described the Newport merchants as "...men in flaming scarlet coats and waistcoats, laced and fringed with brightest glaring yellow. The Sly Quakers, not venturing on these charming coats and waistcoats, yet loving finery, figured away with plate on their sideboards."

The New York aristocracy was the most ostentatious of all. Bridenbaugh tells of "window hangings of camlet, japanned tables, gold-framed looking glasses, spinets and massive eight-day clocks... richly carved furniture, jewels and silverplate... Black house servants."

New York in the colonial period was like a feudal kingdom. The Dutch had set up a patroonship system along the Hudson River, with enormous landed estates, where the barons controlled completely the lives of their tenants. In 1689, many of the grievances of the poor were mixed up in the farmers' revolt of Jacob Leisler and his group. Leisler was hanged, and the parceling out of huge estates continued. Under Governor Benjamin Fletcher, three-fourths of the land in New York was granted to about thirty people. He gave a friend a half million acres for a token annual payment of 30 shillings. Under Lord Cornbury in the early 1700s, one grant to a group of speculators was for 2 million acres.

In 1700, New York City church wardens had asked for funds from the common council because "the Crys of the poor and Impotent for want of Relief are Extreamly Grevious." In the I730s, demand began to grow for institutions to contain the "many Beggarly people daily suffered to wander about the Streets." A city council resolution read:

Whereas the Necessity, Number and Continual Increase of the Poor within this City is very Great and... frequently Commit divers misdemeanors within the Said City, who living Idly and unimployed, become debauched and Instructed in the Practice of Thievery and Debauchery. For Remedy Whereof... Resolved that there be forthwith built... A good, Strong and Convenient House and Tenement.

The two-story brick structure was called "Poor House, Work House, and House of Correction."

A letter to Peter Zenger's New York Journal in 1737 described the poor street urchin of New York as "an Object in Human Shape, half starv'd with Cold, with Cloathes out at the Elbows, Knees through the Breeches, Hair standing on end... From the age about four to Fourteen they spend their Days in the Streets... then they are put out as Apprentices, perhaps four, five, or six years..."

The colonies grew fast in the 1700s. English settlers were joined by Scotch-Irish and German immigrants. Black slaves were pouring in; they were 8 percent of the population in 1690; 21 percent in 1770. The population of the colonies was 250,000 in 1700; 1,600,000 by 1760. Agriculture was growing. Small manufacturing was developing. Shipping and trading were expanding. The big cities - Boston, New York, Philadelphia, Charleston - were doubling and tripling in size.

Through all that growth, the upper class was getting most of the benefits and monopolized political power. A historian who studied Boston tax lists in 1687 and 1771 found that in 1687 there were, out of a population of six thousand, about one thousand property owners, and that the top 5 percent - 1 percent of the population - consisted of fifty rich individuals who had 25 percent of the wealth. By 1770, the top 1 percent of property owners owned 44 percent of the wealth.

As Boston grew, from 1687 to 1770, the percentage of adult males who were poor, perhaps rented a room, or slept in the back of a tavern, owned no property, doubled from 14 percent of the adult males to 29 percent. And loss of property meant loss of voting rights.

Everywhere the poor were struggling to stay alive, simply to keep from freezing in cold weather. All the cities built poorhouses in the 1730s, not just for old people, widows, crippled, and orphans, but for unemployed, war veterans, new immigrants. In New York, at midcentury, the city almshouse, built for one hundred poor, was housing over four hundred. A Philadelphia citizen wrote in 1748: "It is remarkable what an increase of the number of Beggars there is about this town this winter." In 1757, Boston officials spoke of "a great number of Poor... who can scarcely procure from day to day daily Bread for themselves & Families."

Kenneth Lockridge in a study of colonial New England, found that vagabonds and paupers kept increasing and "the wandering poor" were a distinct fact of New England life in the middle 17oos. James T. Lemon and Gary Nash found a similar concentration of wealth, a widening of the gap between rich and poor, in their study of Chester County, Pennsylvania, in the 17oos.

The colonies, it seems, were societies of contending classes - a fact obscured by the emphasis, in traditional histories, on the external struggle against England, the unity of colonists in the Revolution. The country therefore was not "born free" but born slave and free, servant and master, tenant and landlord, poor and rich. As a result, the political authorities were opposed "frequently, vociferously, and sometimes violently," according to Nash. "Outbreaks of disorder punctuated the last quarter of the seventeenth century, toppling established governments in Massachusetts, New York, Maryland, Virginia, and North Carolina."

Free white workers were better off than slaves or servants, but they still resented unfair treatment by the wealthier classes. As early as 1636, an employer off the coast of Maine reported that his workmen and fishermen "fell into a mutiny" because he had withheld their wages. They deserted en masse. Five years later,

carpenters in Maine, protesting against inadequate food, engaged in a slowdown. At the Gloucester shipyards in the 1640s, what Richard Morris calls the "first lockout in American labor history" took place when the authorities told a group of troublesome shipwrights they could not "worke a stroke of worke more."

There were early strikes of coopers, butchers, bakers, protesting against government control of the fees they charged. Porters in the 1650s in New York refused to carry salt, and carters (truckers, teamsters, carriers) who went out on strike were prosecuted in New York City "for not obeying the Command and Doing their Dutyes as becomes them in their Places." In 1741, bakers combined to refuse to bake because they had to pay such high prices for wheat.

A severe food shortage in Boston in 1713 brought a warning from town selectmen to the General Assembly of Massachusetts saying the "threatening scarcity of provisions" had led to such "extravagant prices that the necessities of the poor in the approaching winter must needs be very pressing." Andrew Belcher, a wealthy merchant, was exporting grain to the Caribbean because the profit was greater there. On May 19, two hundred people rioted on the Boston Common. They attacked Belcher's ships, broke into his warehouses looking for com, and shot the lieutenant governor when he tried to interfere.

Eight years after the bread riot on the Common, a pamphleteer protested against those who became rich "by grinding the poor," by studying "how to oppress, cheat, and overreach their neighbors." He denounced "The Rich, Great and Potent" who "with rapacious violence bear down all before them..."

In the 1730s, in Boston, people protesting the high prices established by merchants demolished the public market in Dock Square while (as a conservative writer complained) "murmuring against the Government & the rich people." No one was arrested, after the demonstrators warned that arrests would bring "Five Hundred Men in Solemn League and Covenent" who would destroy other markets set up for the benefit of rich merchants.

Around the same time, in New York, an election pamphlet urged New York voters to join "Shuttle" the weaver, "Plane" the joiner, "Drive" the carter, "Mortar" the mason, "Tar" the mariner, "Snip" the tailor, "Smallrent" the fair-minded landlord, and "John Poor" the tenant, against "Gripe the Merchant, Squeeze the Shopkeeper, Spintext and Quible the Lawyer." The electorate was urged to vote out of office "people in Exalted Stations" who scorned "those they call the Vulgar, the Mob, the herd of Mechanicks."

In the 1730s, a committee of the Boston town meeting spoke out for Bostonians in debt, who wanted paper money issued to make it easier to payoff their debts to the merchant elite. They did not want, they declared, to "have our Bread and Water measured out to Us by those who Riot in Luxury & Wantonness on Our Sweat & Toil..."

Bostonians rioted also against impressment, in which men were drafted for naval service. They surrounded the house of the governor, beat up the sheriff, locked up a deputy sheriff, and stormed the town house where the General Court sat. The militia did not respond when called to put them down, and the governor fled. The crowd was condemned by a merchants' group as a "Riotous Tumultuous Assembly of Foreign Seamen, Servants, Negroes, and Other Persons of Mean and Vile Condition."

In New Jersey in the 1740s and 1750s, poor farmers occupying land, over which they and the landowners had rival claims, rioted when rents were demanded of them. In 1745, Samuel Baldwin, who had long lived on his land and who held an Indian title to it, was arrested for nonpayment of rent to the proprietor and taken to the Newark jail. A contemporary-described what happened then: "The People in general, supposing the, Design of the Proprietors was to ruin them... went to the Prison, opened the Door, took out Baldwin."

When two men who freed Baldwin were arrested, hundreds of New Jersey citizens gathered around the jail. A report sent by the New Jersey government to the Lords of Trade in London described the scene:

Two of the new captains of the Newark Companies by the Sheriff's order went with their drumms, to the people, so met, and required all persons there, belong to their companies, to follow the drums and to defend the prison but none followed, tho many were there... The multitude... between four and five of the clock in the afternoon lighted off their horses, and came towards the gaol, huzzaing and swinging their clubs... till they came within reach of the guard, struck them with their dubbs, and the guard (having no orders to fire) returned the blows with their guns, and some were wounded on both sides, but none killed. The multitude broke the ranks of the soldiers, and pressed on the prison door, where the Sheriff stood with a sword, and kept them off, till they gave him several blows, and forced him out, from

thence. They then, with axes and other instruments, broke open the prison door, and took out the two prisoners. As also one other prisoner, that was confined for debt, and went away.

Through this period, England was fighting a series of wars (Queen Anne's War in the early 1700s, King George's War in the 1730s). Some merchants made fortunes from these wars, but for most people they meant higher taxes, unemployment, poverty. An anonymous pamphleteer in Massachusetts, writing angrily after King George's War, described the situation: "Poverty and Discontent appear in every Face (except the Countenances of the Rich) and dwell upon every Tongue." He spoke of a few men, fed by "Lust of Power, Lust of Fame, Lust of Money," who got rich during the war. "No Wonder such Men can build Ships, Houses, buy Farms, set up their Coaches, Chariots, live very splendidly, purchase Fame, Posts of Honour." He called them "Birds of prey... Enemies to all Communities - wherever they live."

The forced service of seamen led to a riot against impressment in Boston in 1747. Then crowds turned against Thomas Hutchinson, a rich merchant and colonial official who had backed the governor in putting down the riot, and who also designed a currency plan for Massachusetts which seemed to discriminate against the poor. Hutchinson's house burned down, mysteriously, and a crowd gathered in the street, cursing Hutchinson and shouting, "Let it burn!"

By the years of the Revolutionary crisis, the 1760s, the wealthy elite that controlled the British colonies on the American mainland had 150 years of experience, had learned certain things about how to rule. They had various fears, but also had developed tactics to deal with what they feared.

The Indians, they had found, were too unruly to keep as a labor force, and remained an obstacle to expansion. Black slaves were easier to control, and their profitability for southern plantations was bringing an enormous increase in the importation of slaves, who were becoming a majority in some colonies and constituted one-fifth of the entire colonial population. But the blacks were not totally submissive, and as their numbers grew, the prospect of slave rebellion grew.

With the problem of Indian hostility, and the danger of slave revolts, the colonial elite had to consider the class anger of poor whites servants, tenants, the city poor, the propertyless, the taxpayer, the soldier and sailor. As the colonies passed their hundredth year and went into the middle of the 1700s, as the gap between rich and poor widened, as violence and the threat of violence increased, the problem of control became more serious.

What if these different despised groups - the Indians, the slaves, the poor whites - should combine? Even before there were so many blacks, in the seventeenth century, there was, as Abbot Smith puts it, "a lively fear that servants would join with Negroes or Indians to overcome the small number of masters."

There was little chance that whites and Indians would combine in North America as they were doing in South and Central America, where the shortage of women, and the use of Indians on the plantations, led to daily contact. Only in Georgia and South Carolina, where white women were scarce, was there some sexual mixing of white men and Indian women. In general, the Indian had been pushed out of sight, out of touch. One fact disturbed: whites would run off to join Indian tribes, or would be captured in battle and brought up among the Indians, and when this happened the whites, given a chance to leave, chose to stay in the Indian culture. Indians, having the choice, almost never decided to join the whites.

Hector St. Jean Crevecoeur, the Frenchman who lived in America for almost twenty years, told, in *Letters from an American Farmer*, how children captured during the Seven Years' War and found by their parents, grown up and living with Indians, would refuse to leave their new families. "There must be in their social bond," he said, "something singularly captivating, and far superior to anything to be boasted" among us; for thousands of Europeans are Indians, and we have no examples of even one of those Aborigines having from choice become Europeans."

But this affected few people. In general, the Indian was kept at a distance. And the colonial officialdom had found a way of alleviating the danger: by monopolizing the good land on the eastern seaboard, they forced landless whites to move westward to the frontier, there to encounter the Indians and to be a buffer for the seaboard rich against Indian troubles, while becoming more dependent on the government for protection. Bacon's Rebellion was instructive: to conciliate a diminishing Indian population at the expense of infuriating a coalition of white frontiersmen was very risky. Better to make war on the Indian, gain the support of the white, divert possible class conflict by turning poor whites against Indians for the security of the elite.

Might blacks and Indians combine against the white enemy? In the northern colonies (except on Cape Cod, Martha's Vineyard, and Rhode Island, where there was close contact and sexual mixing), there was not much opportunity for Africans and Indians to meet in large numbers. New York had the largest slave population in the North, and there was some contact between blacks and Indians, as in 1712 when Africans and Indians joined in an insurrection. But this was quickly suppressed.

In the Carolinas, however, whites were outnumbered by black slaves and nearby Indian tribes; in the 1750s, 25,000 whites faced 40,000 black slaves, with 60,000 Creek, Cherokee, Choctaw, and Chickasaw Indians in the area. Gary Nash writes: "Indian uprisings that punctuated the colonial period and a succession of slave uprisings and insurrectionary plots that were nipped in the bud kept South Carolinians sickeningly aware that only through the greatest vigilance and through policies designed to keep their enemies divided could they hope to remain in control of the situation."

The white rulers of the Carolinas seemed to be conscious of the need for a policy, as one of them put it, "to make Indians & Negros a checque upon each other lest by their Vastly Superior Numbers we should be crushed by one or the other." And so laws were passed prohibiting free blacks from traveling in Indian country. Treaties with Indian tribes contained clauses requiring the return of fugitive slaves. Governor Lyttletown of South Carolina wrote in 1738: "It has allways been the policy of this government to create an aversion in them [Indians] to Negroes."

Part of this policy involved using black slaves in the South Carolina militia to fight Indians. Still, the government was worried about black revolt, and during the Cherokee war in the 1760s, a motion to equip five hundred slaves to fight the Indians lost in the Carolina assembly by a single vote.

Blacks ran away to Indian villages, and the Creeks and Cherokees harbored runaway slaves by the hundreds. Many of these were amalgamated into the Indian tribes, married, produced children. But the combination of harsh slave codes and bribes to the Indians to help put down black rebels kept things under control.

It was the potential combination of poor whites and blacks that caused the most fear among the wealthy white planters. If there had been the natural racial repugnance that some theorists have assumed, control would have been easier. But sexual attraction was powerful, across racial lines. In 1743, a grand jury in Charleston, South Carolina, denounced "The Too Common Practice of Criminal Conversation with Negro and other Slave Wenches in this Province." Mixed offspring continued to be produced by white-black sex relations throughout the colonial period, in spite of laws prohibiting interracial marriage in Virginia, Massachusetts, Maryland, Delaware, Pennsylvania, the Carolinas, Georgia. By declaring the children illegitimate, they would keep them inside the black families, so that the white population could remain "pure" and in control.

What made Bacon's Rebellion especially fearsome for the rulers of Virginia was that black slaves and white servants joined forces. The final surrender was by "four hundred English and Negroes in Armes" at one garrison, and three hundred "freemen and African and English bond-servants" in another garrison. The naval commander who subdued the four hundred wrote: "Most of them I persuaded to goe to their Homes, which accordingly they did, except about eighty Negroes and twenty English which would not deliver their Armes."

All through those early years, black and white slaves and servants ran away together, as shown both by the laws passed to stop this and the records of the courts. In 1698, South Carolina passed a "deficiency law" requiring plantation owners to have at least one white servant for every six male adult Negroes. A letter from the southern colonies in 1682 complained of "no white men to superintend our negroes, or repress an insurrection of negroes..." In 1691, the House of Commones received "a petition of divers merchants, masters of ships, planters' and others, trading to foreign plantations... setting forth, that the plantations cannot be maintained without a considerable number of white servants, as well to keep the blacks in subjection, as to bear arms in case of invasion."

A report to the English government in 1721 said that in South Carolina "black slaves have lately attempted and were very near succeeding in a new revolution... and therefore, it may be necessary... to propose some new law for encouraging the entertainment of more white servants in the future. The militia of this province does not consist of above 2000 men." Apparently, two thousand were not considered sufficient to meet the threat.

This fear may help explain why Parliament, in 1717, made transportation to the New World a legal punishment for crime. After that, tens of thousands of convicts could be sent to Virginia, Maryland, and other colonies. It also makes understandable why the Virginia Assembly, after Bacon's Rebellion, gave amnesty to white servants who

had rebelled, but not to blacks. Negroes were forbidden to carry any arms, while whites finishing their servitude would get muskets, along with corn and cash. The distinctions of status between white and black servants became more and more clear.

In the 1720s, with fear of slave rebellion growing, white servants were allowed in Virginia to join the militia as substitutes for white freemen. At the same time, slave patrols were established in Virginia to deal with the "great dangers that may... happen by the insurrections of negroes..." Poor white men would make up the rank and file of these patrols, and get the monetary reward.

Racism was becoming more and more practical. Edmund Morgan, on the basis of his careful study of slavery in Virginia, sees racism not as "natural" to black-white difference, but something coming out of class scorn, a realistic device for control. "If freemen with disappointed hopes should make common cause with slaves of desperate hope, the results might be worse than anything Bacon had done. The answer to the problem, obvious if unspoken and only gradually recognized, was racism, to separate dangerous free whites from dangerous black slaves by a screen of racial contempt."

There was still another control which became handy as the colonies grew, and which had crucial consequences for the continued rule of the elite throughout American history. Along with the very rich and the very poor, there developed a white middle class of small planters, independent farmers, city artisans, who, given small rewards for joining forces with merchants and planters, would be a solid buffer against black slaves, frontier Indians, and very poor whites.

The growing cities generated more skilled workers, and the governments cultivated the support of white mechanics by protecting them from the competition of both slaves and free Negroes. As early as 1686, the council in New York ordered that "noe Negro or Slave be suffered to work on the bridge as a Porter about any goods either imported or Exported from or into this Citty." In the southern towns too, white craftsmen and traders were protected from Negro competition. In 1764 the South Carolina legislature prohibited Charleston masters from employing Negroes or other slaves as mechanics or in handicraft trades.

Middle-class Americans might be invited to join a new elite by attacks against the corruption of the established rich. The New Yorker Cadwallader Colden, in his *Address to the Freeholders* in 1747, attacked the wealthy as tax dodgers unconcerned with the welfare of others (although he himself was wealthy) and spoke for the honesty and dependability of "the midling rank of mankind" in whom citizens could best trust "our liberty & Property." This was to become a critically important rhetorical device for the rule of the few, who would speak to the many of "our" liberty, "our" property, "our" country.

Similarly, in Boston, the rich James Otis could appeal to the Boston middle class by attacking the Tory Thomas Hutchinson. James Henretta has shown that while it was the rich who ruled Boston, there were political jobs available for the moderately well-off, as "cullers of staves," "measurer of Coal Baskets," "Fence Viewer." Aubrey Land found in Maryland a class of small planters who were not "the beneficiary" of the planting society as the rich were, but who had the distinction of being called planters, and who were "respectable citizens with community obligations to act as overseers of roads, appraisers of estates and similar duties." It helped the alliance to accept the middle class socially in "a round of activities that included local politics... dances, horseracing, and cockfights, occasionally punctuated with drinking brawls..."

The Pennsylvania Journal wrote in 1756: "The people of this province are generally of the middling sort, and at present pretty much upon a level. They are chiefly industrious farmers, artificers or men in trade; they enjoy and are fond of freedom, and the meanest among them thinks he has a right to civility from the greatest." Indeed, there was "a substantial middle class fitting that description. To call them "the people" was to omit black slaves, white servants, displaced Indians. "And the term "middle class" concealed a fact long true about this country, that, as Richard Hofstadter said: "It was... a middle-class society governed for the most part by its upper classes."

Those upper classes, to rule, needed to make concessions to the middle class, without damage to their own wealth or power, at the expense of slaves, Indians, and poor whites. This bought loyalty. And to bind that loyalty with something more powerful even than material advantage, the ruling group found, in the 1760s and 1770s, a wonderfully useful device. That device was the language of liberty and equality, which could unite just enough whites to fight a Revolution against England, without ending either slavery or inequality.